

# Role of Neutrinos in Extreme Astrophysical Environments

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NP3M Virtual Seminar

Sep. 5, 2024

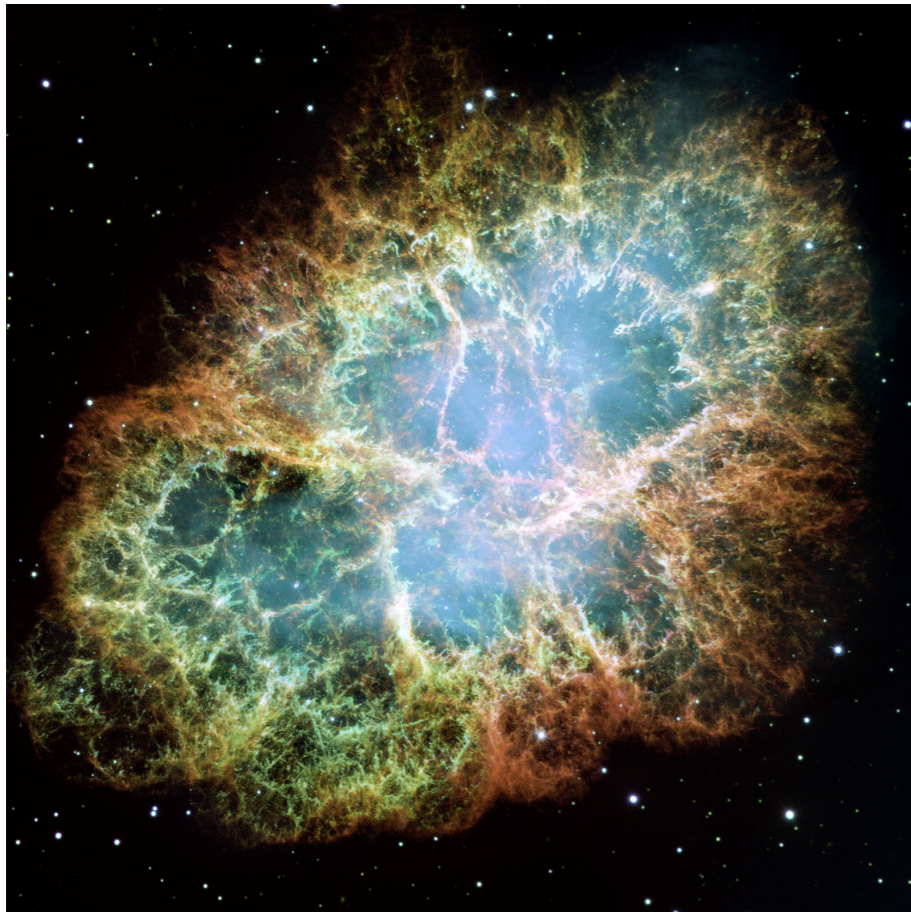


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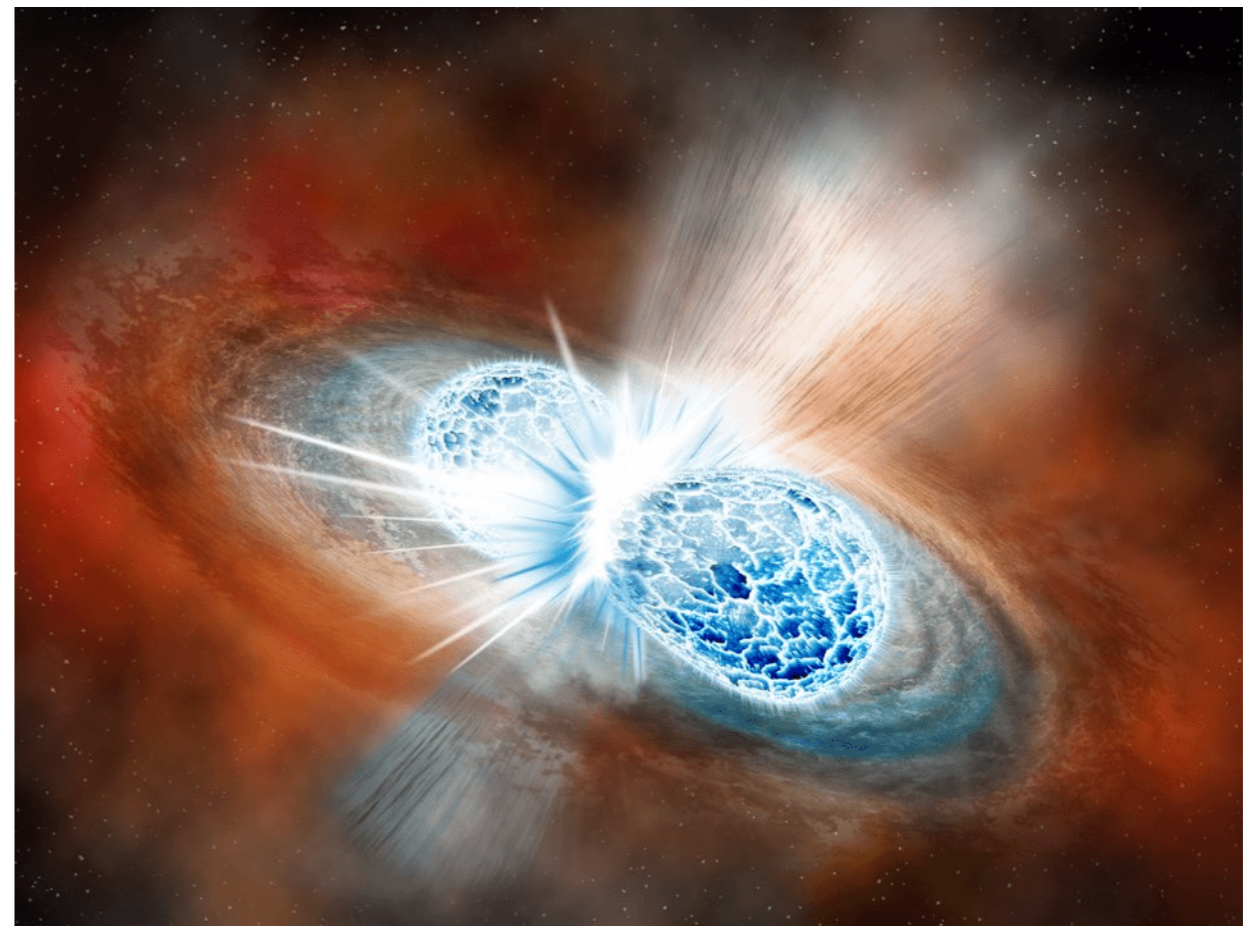


# Extreme astrophysical environments

Core-collapse supernova  
(CCSN)



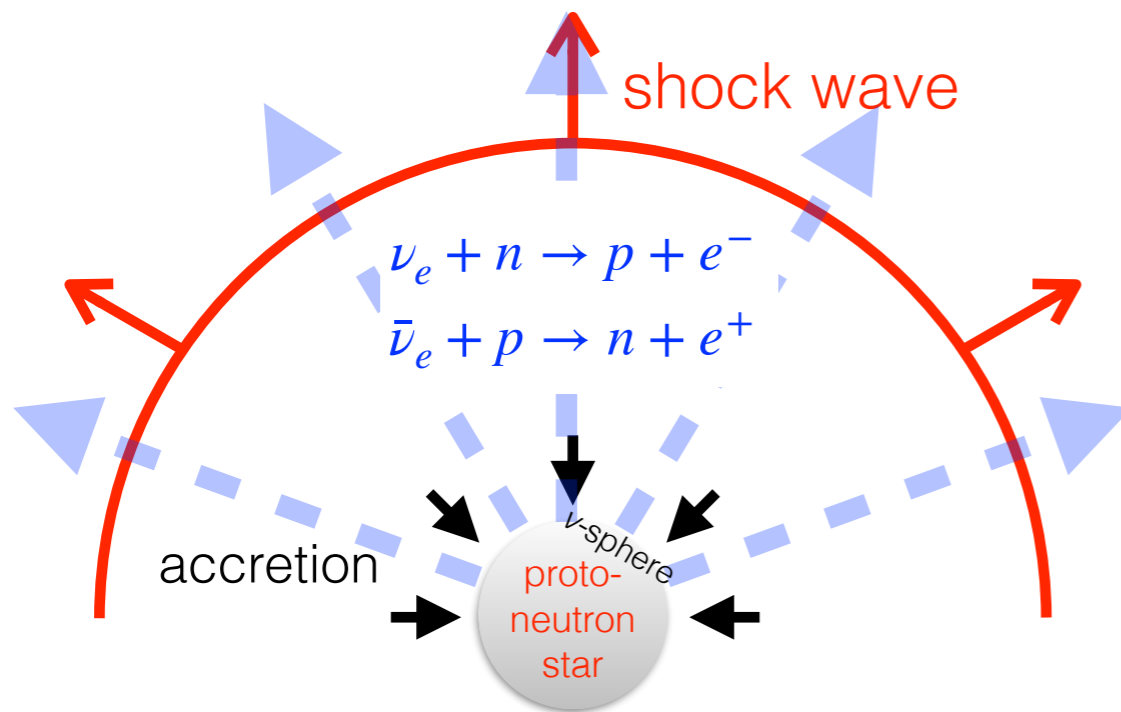
Neutron star merger  
(NSM)



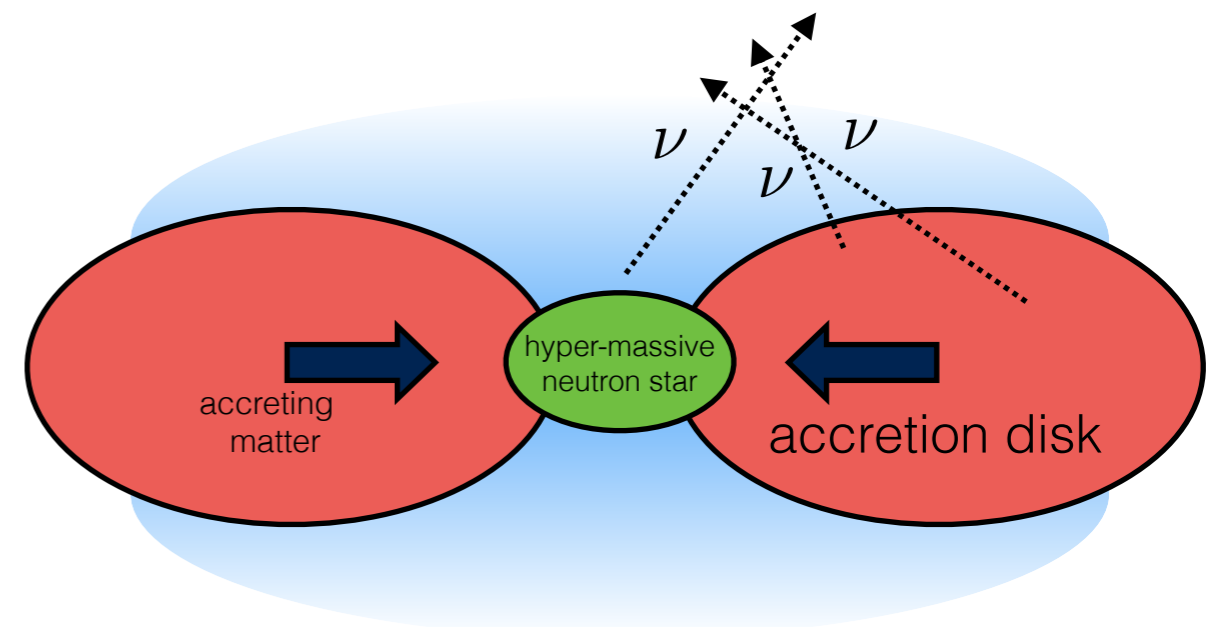
Credit: NASA

# Prolific sources of neutrinos

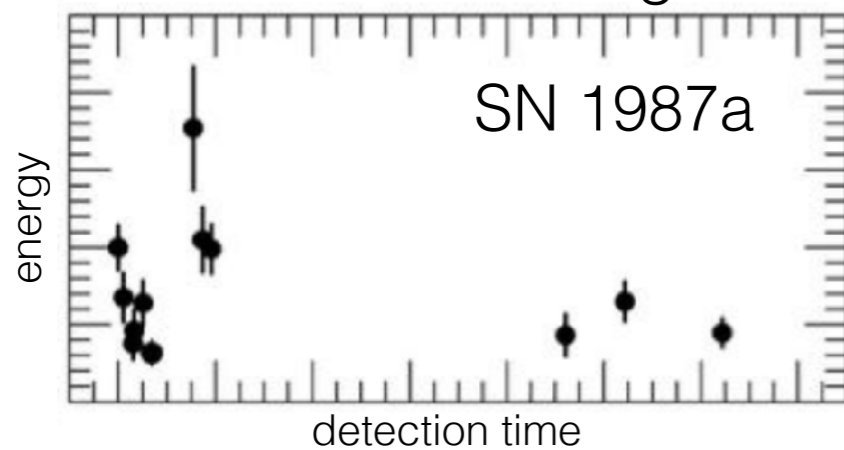
CCSN



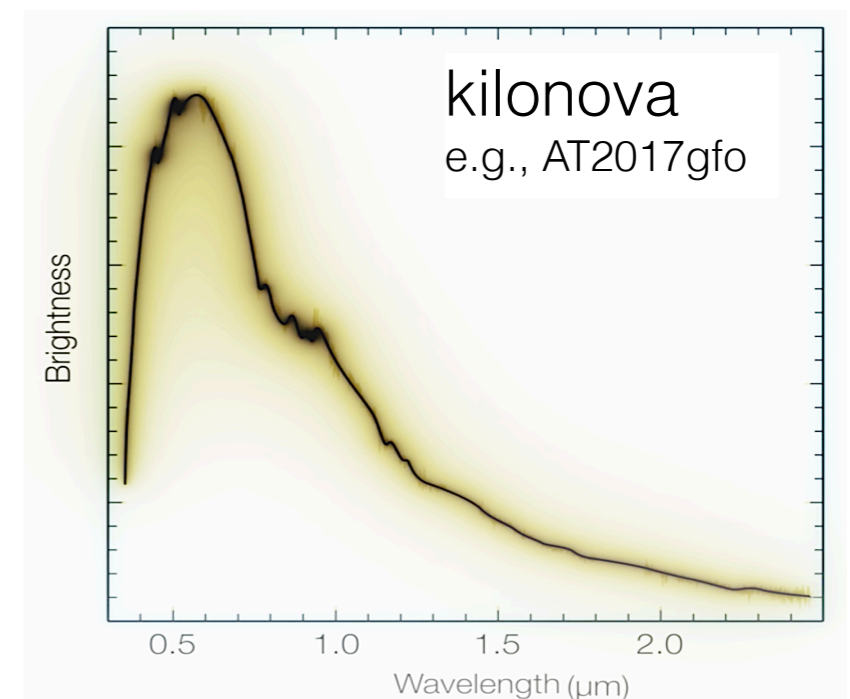
NSM



neutrino signals



origin of heavy elements



# Neutrino interactions

[see, e.g., A. Mezzacappa et al, Living Reviews in Computational Astrophysics Reports 6, 1-174 (2020)]

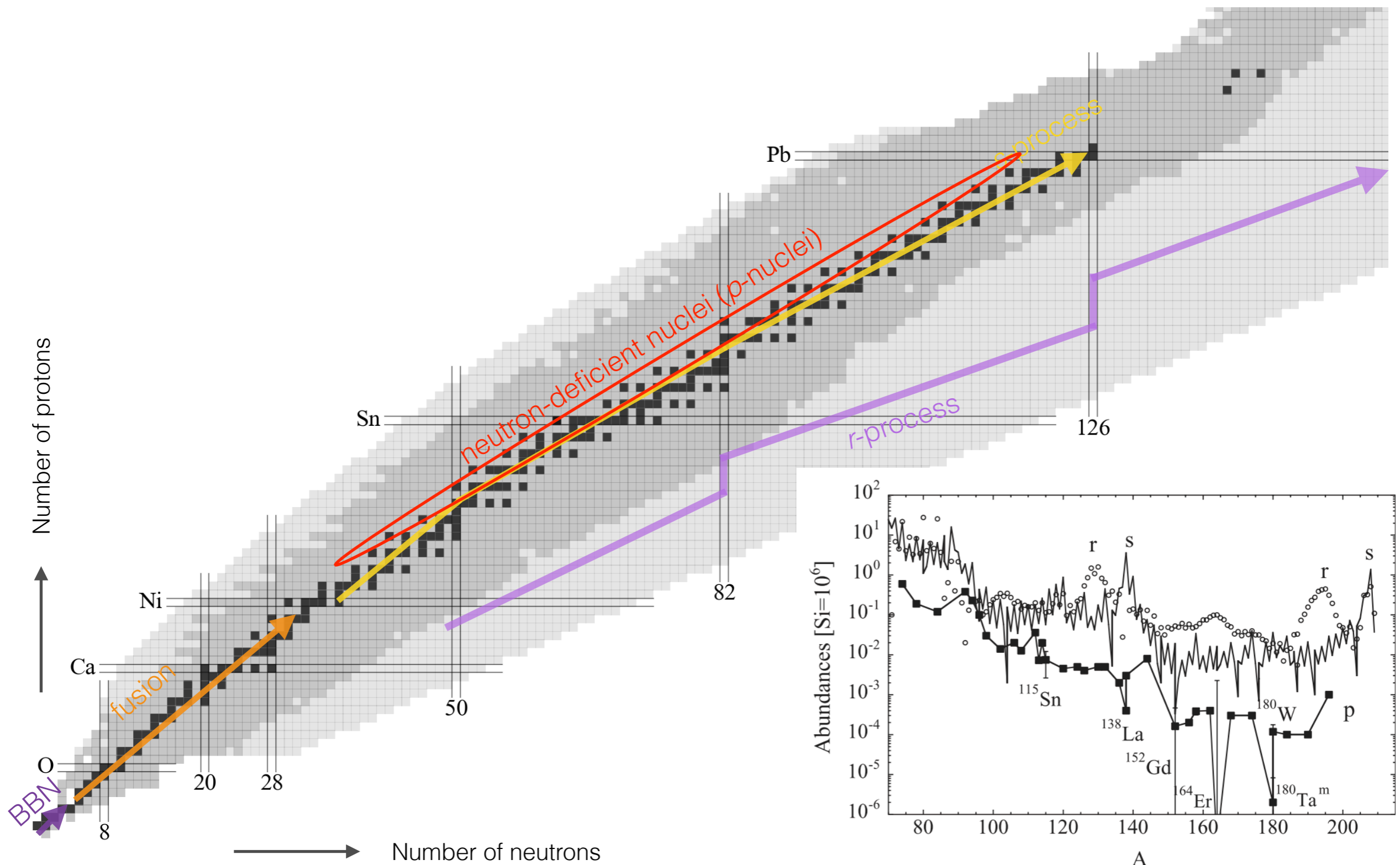
emission and absorption	$\nu_e + n \rightleftharpoons p + e^-$
	$\bar{\nu}_e + p \rightleftharpoons n + e^+$
	$\bar{\nu}_e + p + e^- \rightleftharpoons n$
$\nu$ -nucleon scatterings	$\nu + N \rightleftharpoons \nu + N$
	$\bar{\nu} + N \rightleftharpoons \bar{\nu} + N$
$\nu$ -electron scatterings	$\nu + e^\pm \rightleftharpoons \nu + e^\pm$
Pair reactions	$\nu + \bar{\nu} \rightleftharpoons e^- + e^+$
	$\nu + \bar{\nu} + N + N \rightleftharpoons N + N$
...	...
$\nu$ -nucleus interactions	$\nu_e + (A, Z) \rightleftharpoons (A, Z + 1) + e^-$
	$\bar{\nu}_e + (A, Z) \rightleftharpoons (A, Z - 1) + e^+$
	$\nu + (A, Z) \rightleftharpoons \nu + (A, Z)$
Collective neutrino oscillations	" $\nu_e(p) + \nu_x(p') \rightleftharpoons \nu_x(p) + \nu_e(p')$ "
	" $\nu_e(p) + \bar{\nu}_e(p') \rightleftharpoons \nu_x(p) + \bar{\nu}_x(p')$ "

Production of  $p$ -nuclei  
from  $r$ -process seeds:  
 $\nu r$ -process

Quantum kinetics

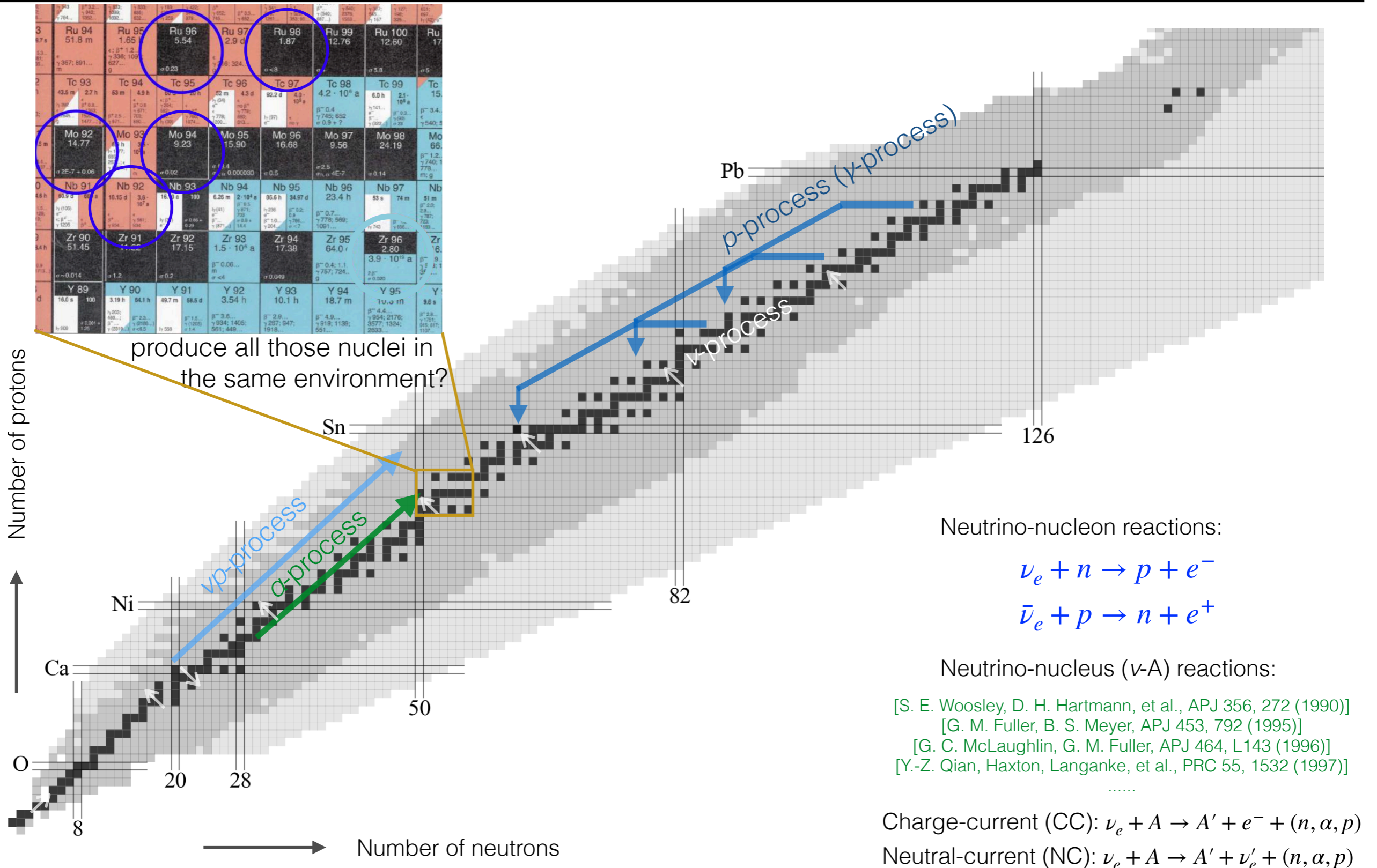
Production of  $p$ -nuclei from  $r$ -process seeds:  
 $vr$ -process

# Origin of heavy elements

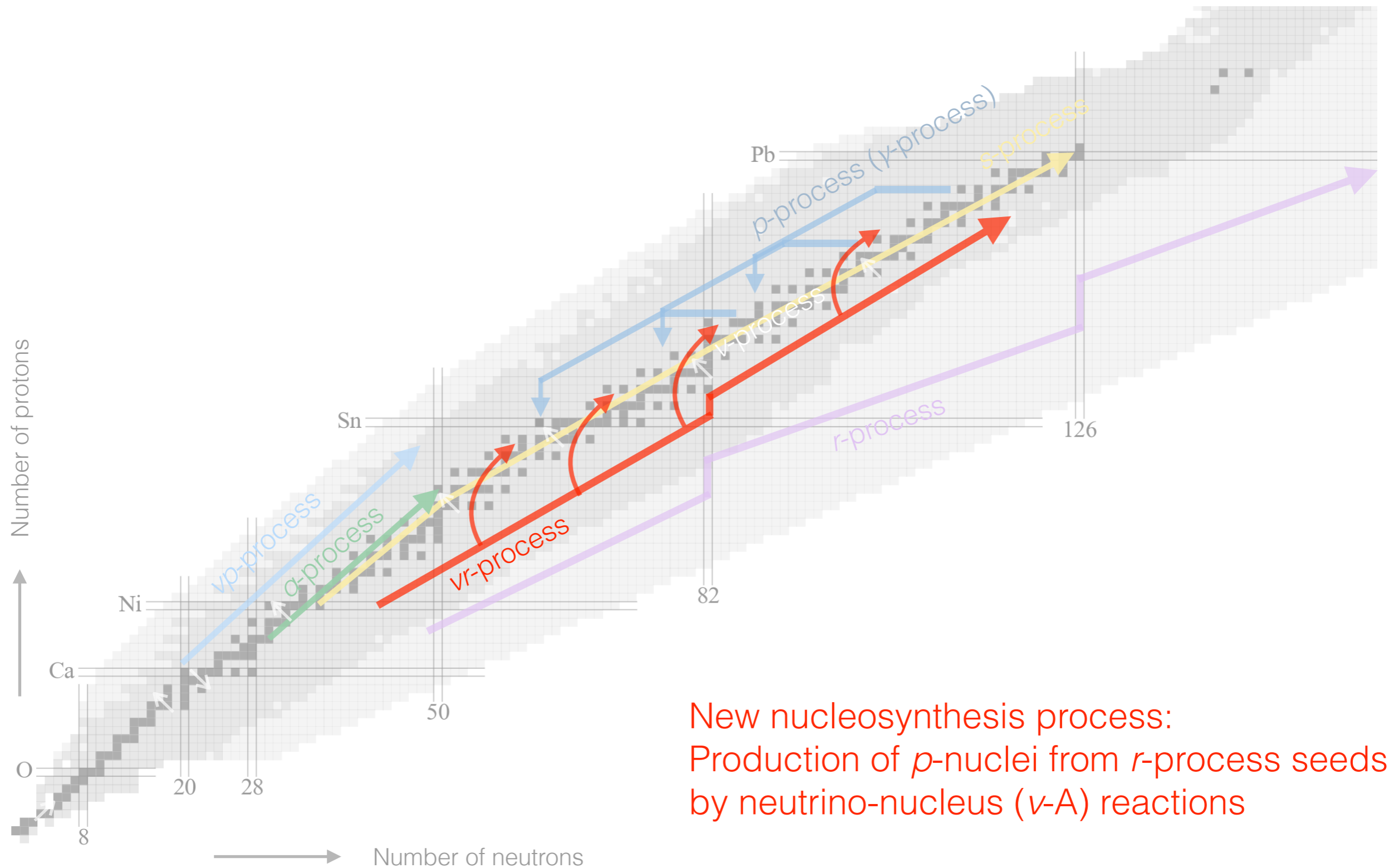


[M. Arnould, S. Goriely, Physics Reports 384, 1 (2003)]

# Neutrinos & nucleosynthesis



# *vr*-process

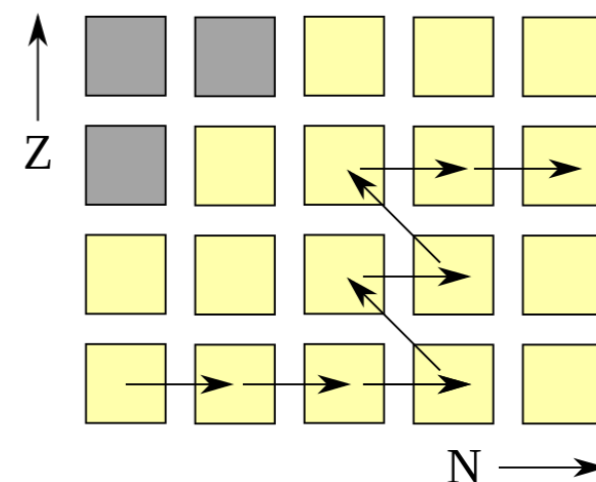


New nucleosynthesis process:  
Production of *p*-nuclei from *r*-process seeds  
by neutrino-nucleus ( $\nu$ -A) reactions



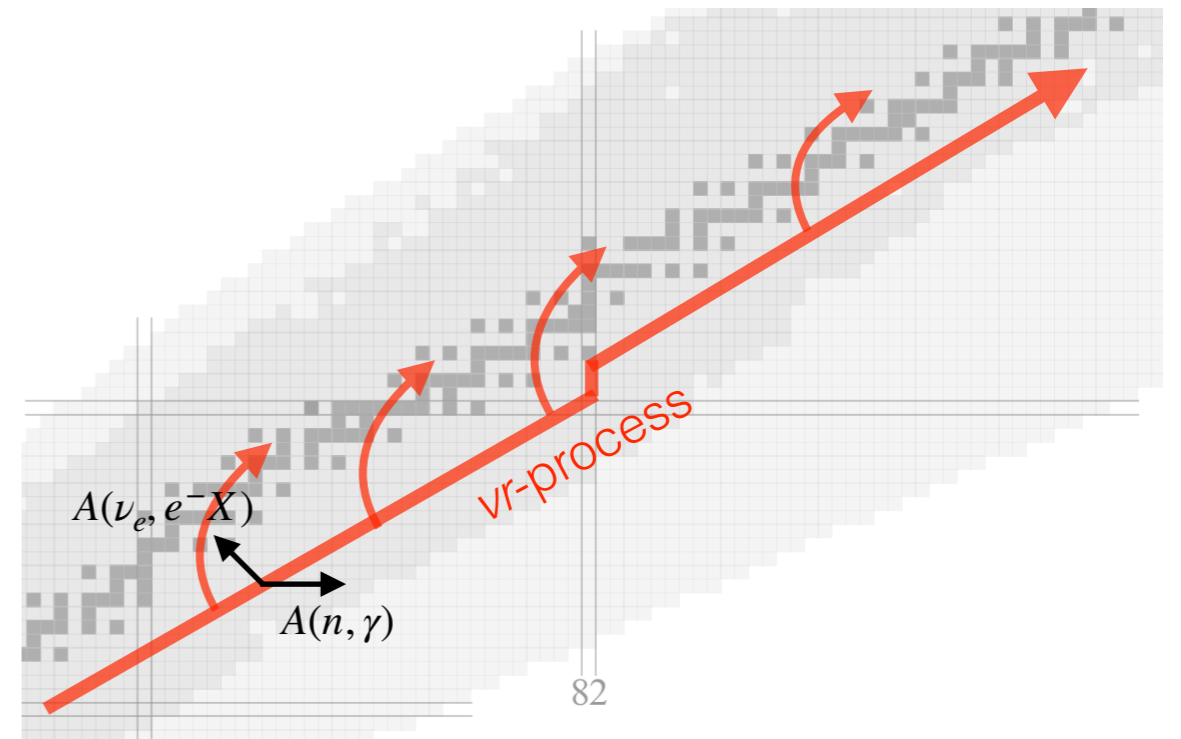
# Phases of the $r$ -process

- **Weak freeze-out:** proton-to-nucleon ratio (or electron fraction  $Y_e$ ) determined by neutrino-nucleon reactions
- **Seed production:** Charged particle reactions operating for  $T \gtrsim 2$  GK produce the seed nuclei
- **Neutron-capture phase:** neutrons are captured on the available seed nuclei
  - $(n, \gamma) \rightleftharpoons (\gamma, n)$  equilibrium
  - Beta-flow equilibrium
- **Freeze-out and decay to stability:** fully dynamical phase in which competition between neutron-captures, beta-decay (and fission) determines the final abundance pattern

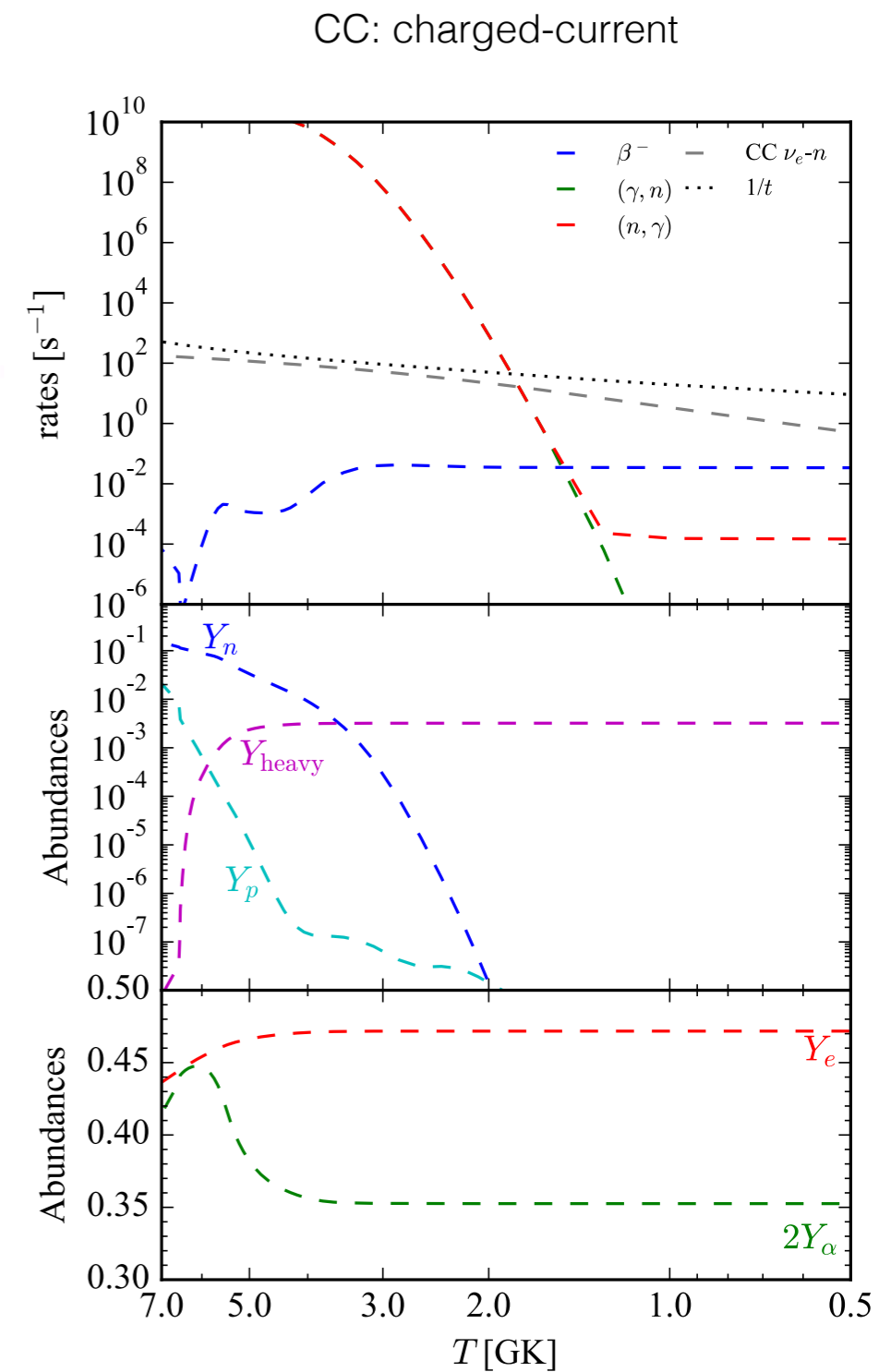
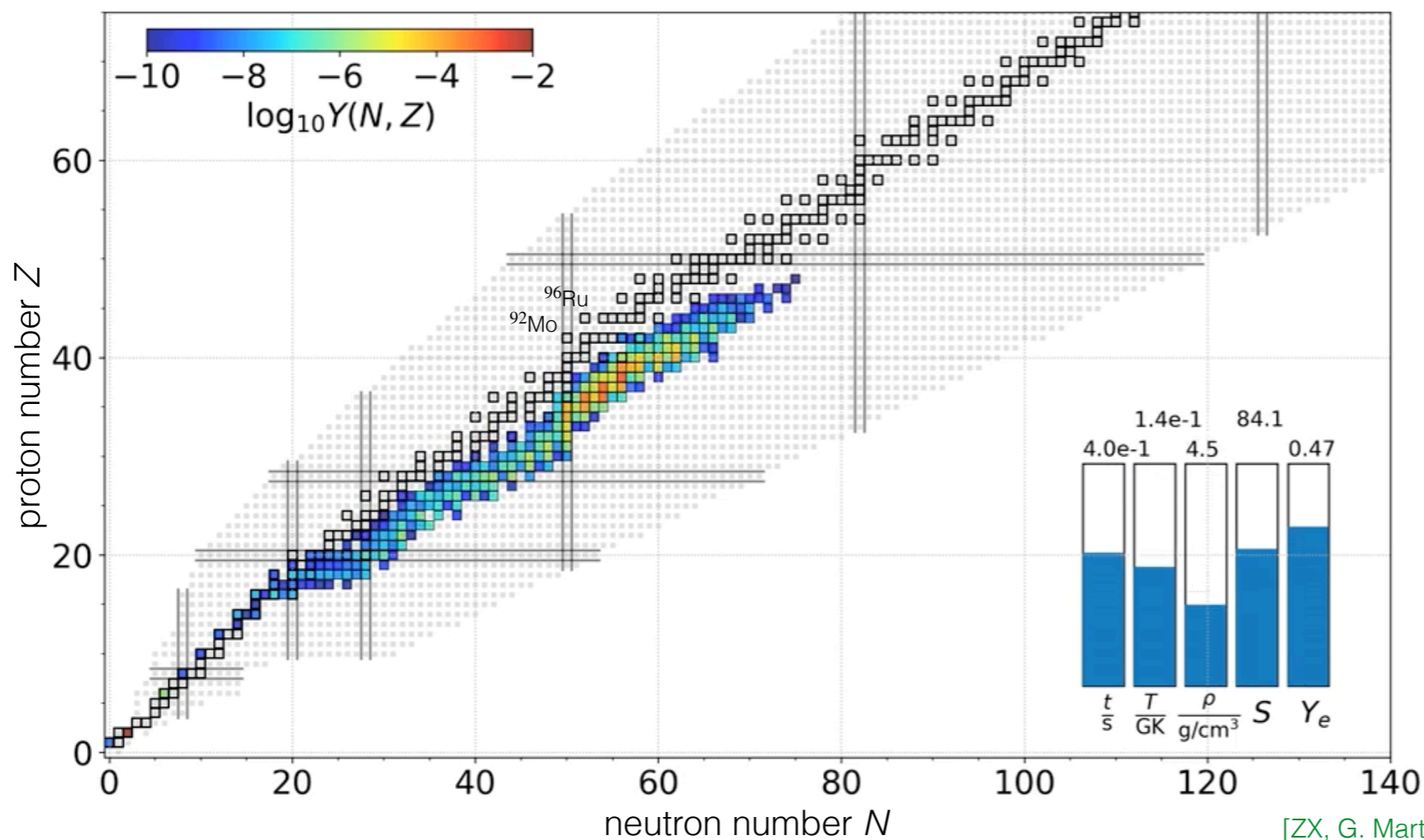
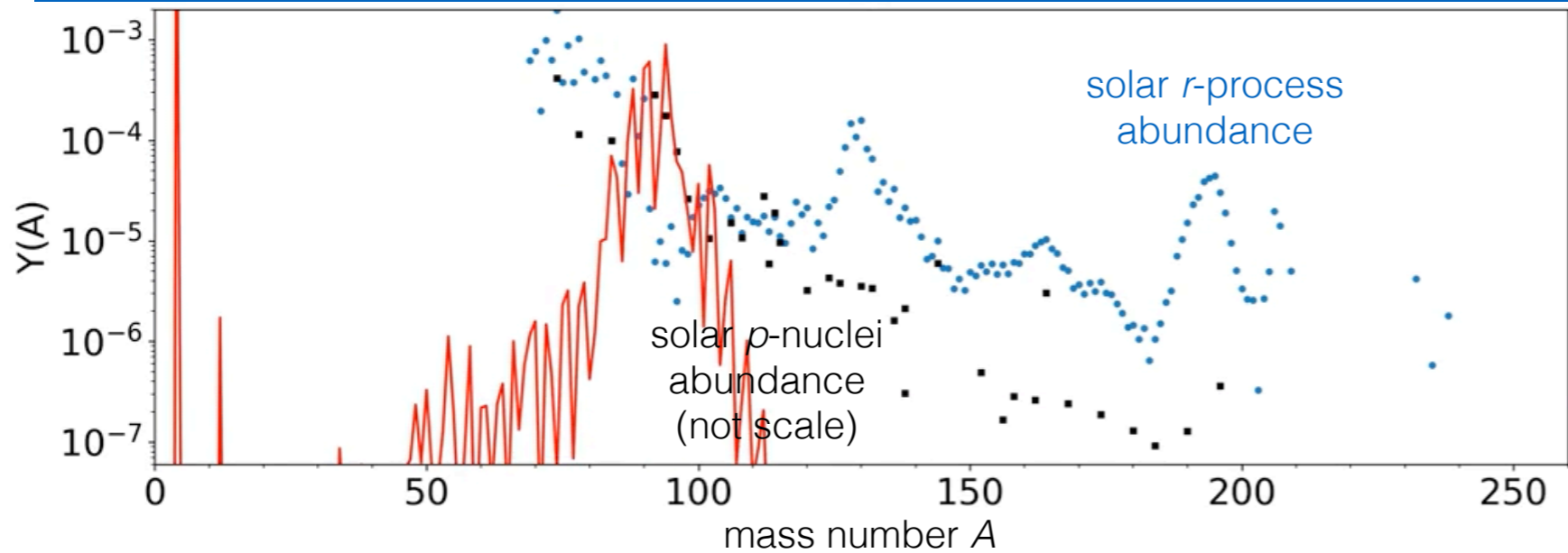


# Phases of the $\nu r$ -process

- **Seed production:** Strong neutrino fluxes drive material to  $Y_e \sim 0.4 - 0.5$
- **Neutron-capture phase:** neutrons are used relatively fast by two competing mechanisms:
  - $n(\nu_e, e^-)p$  converts neutrons into protons that are captured in medium mass nuclei
  - $A(\nu_e, e^-X)$  speeds up the increase of  $Z$  and the build-up of heavy nuclei ( $X = n, p, \alpha$ )
- **Fast transition towards stability and beyond:**  
 $A(\nu_e, e^-X)$  reactions drive material to  $\beta$ -stability line and beyond
  - Neutrons, protons and alphas produced by both charged- and neutral-current spallation reactions
  - Protons and alphas captured mainly in light nuclei
  - Equilibrium between  $A(\nu_e, e^-X)$  and  $A(n, \gamma)$  determines final abundance

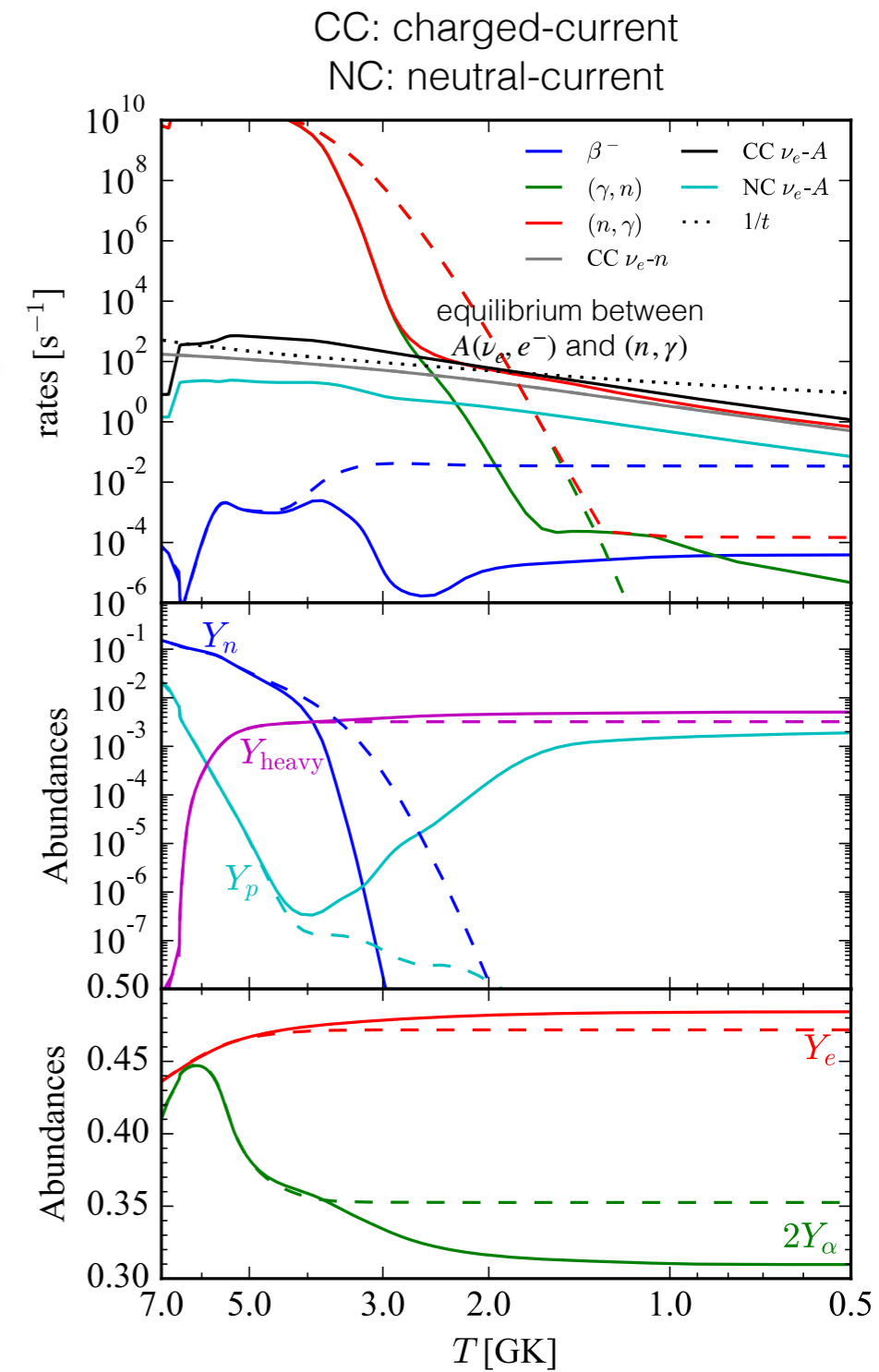
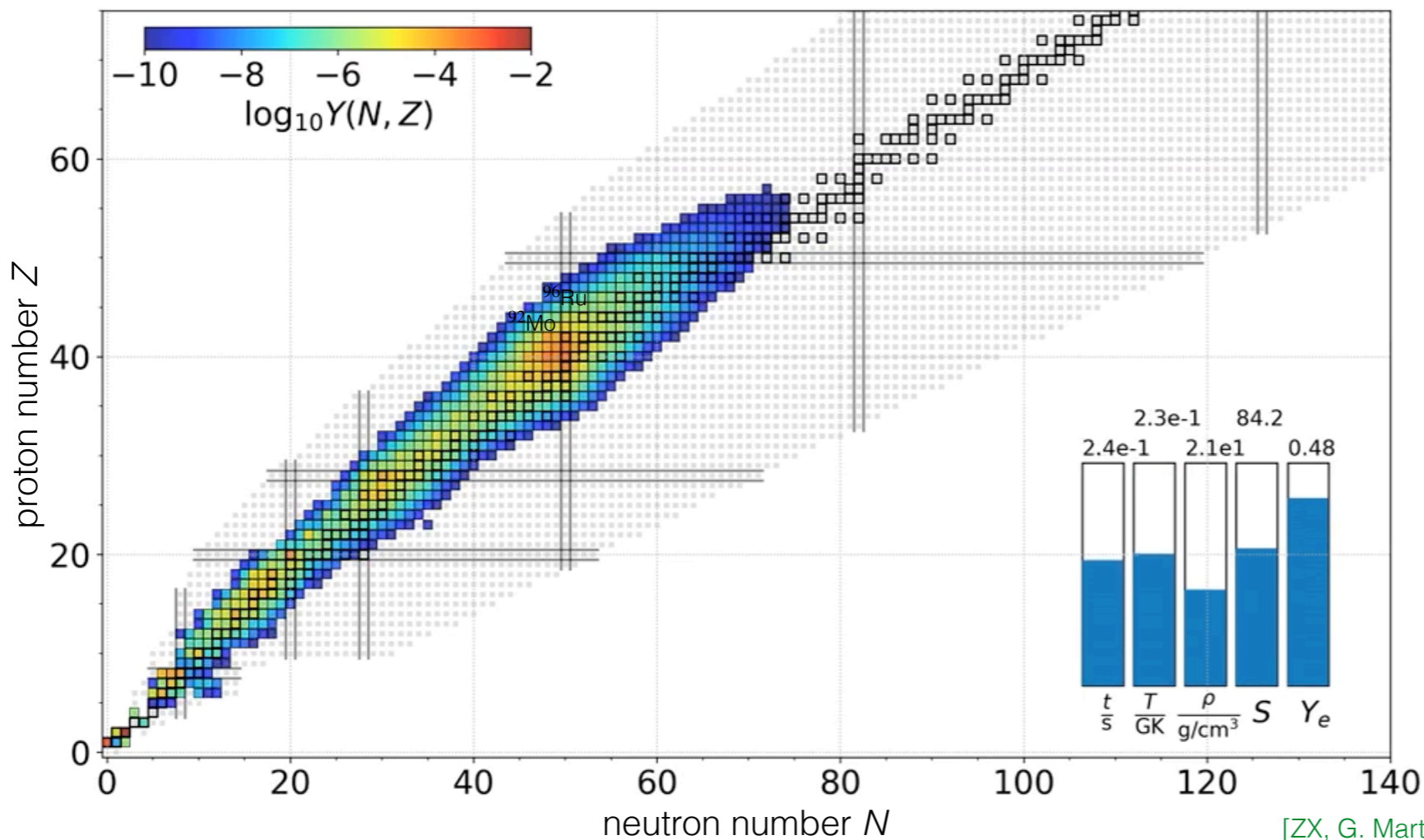
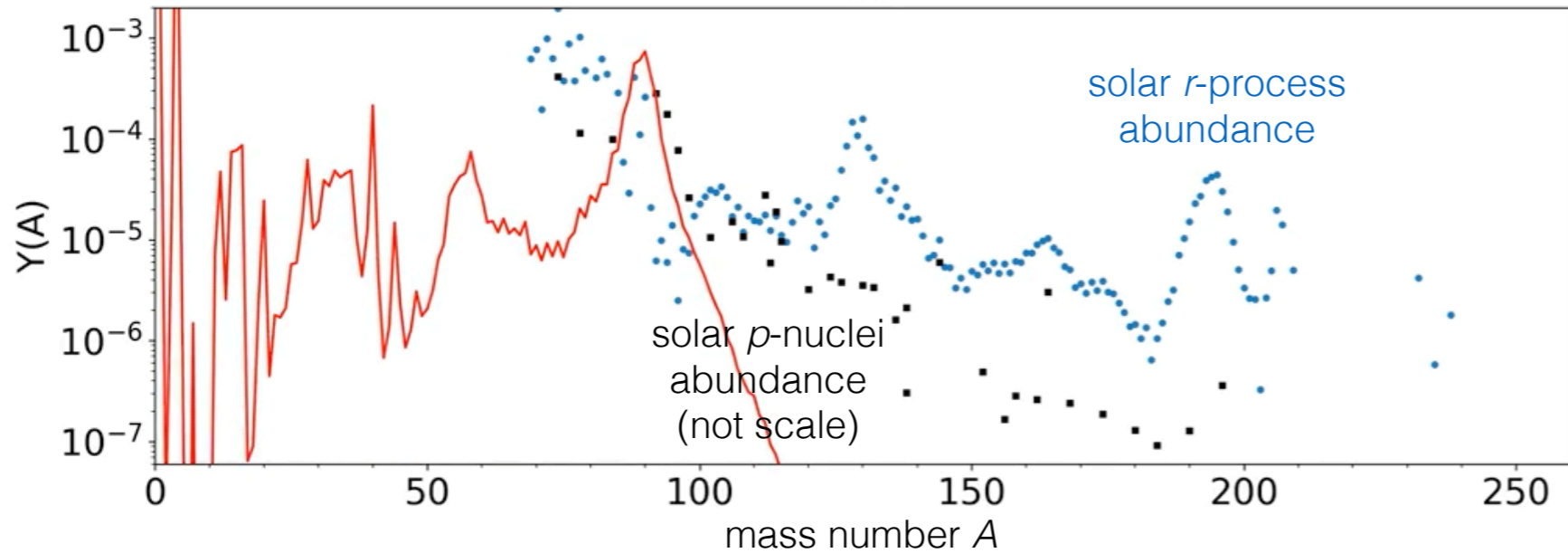


# Nucleosynthesis (no neutrino-nucleus reaction)



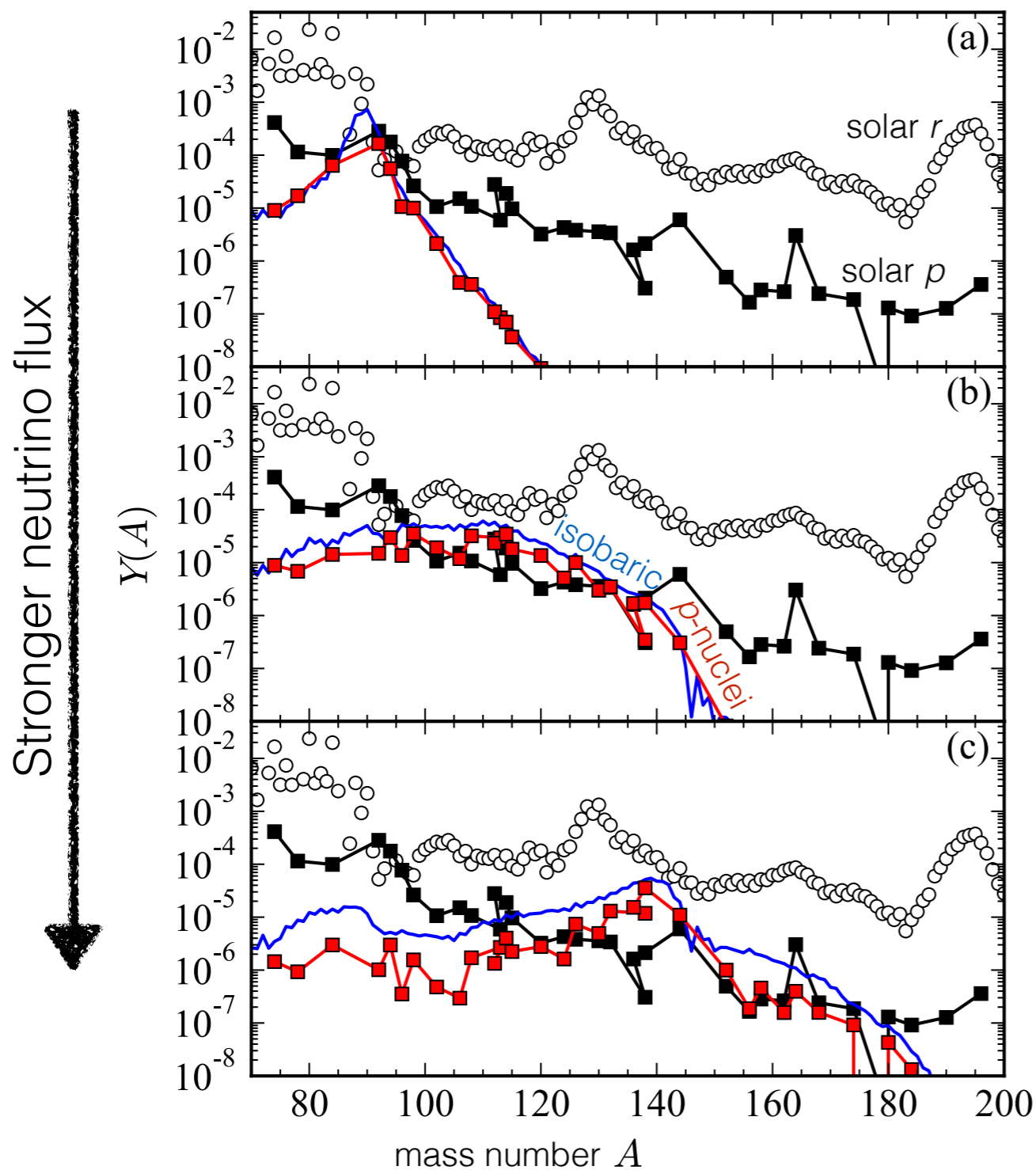
[ZX, G. Martínez-Pinedo, O. Just, A. Sieverding, PRL 132, 192701 (2024)]

# Nucleosynthesis (with neutrino-nucleus reaction)



[ZX, G. Martínez-Pinedo, O. Just, A. Sieverding, PRL 132, 192701 (2024)]

# Dependence on neutrino fluxes



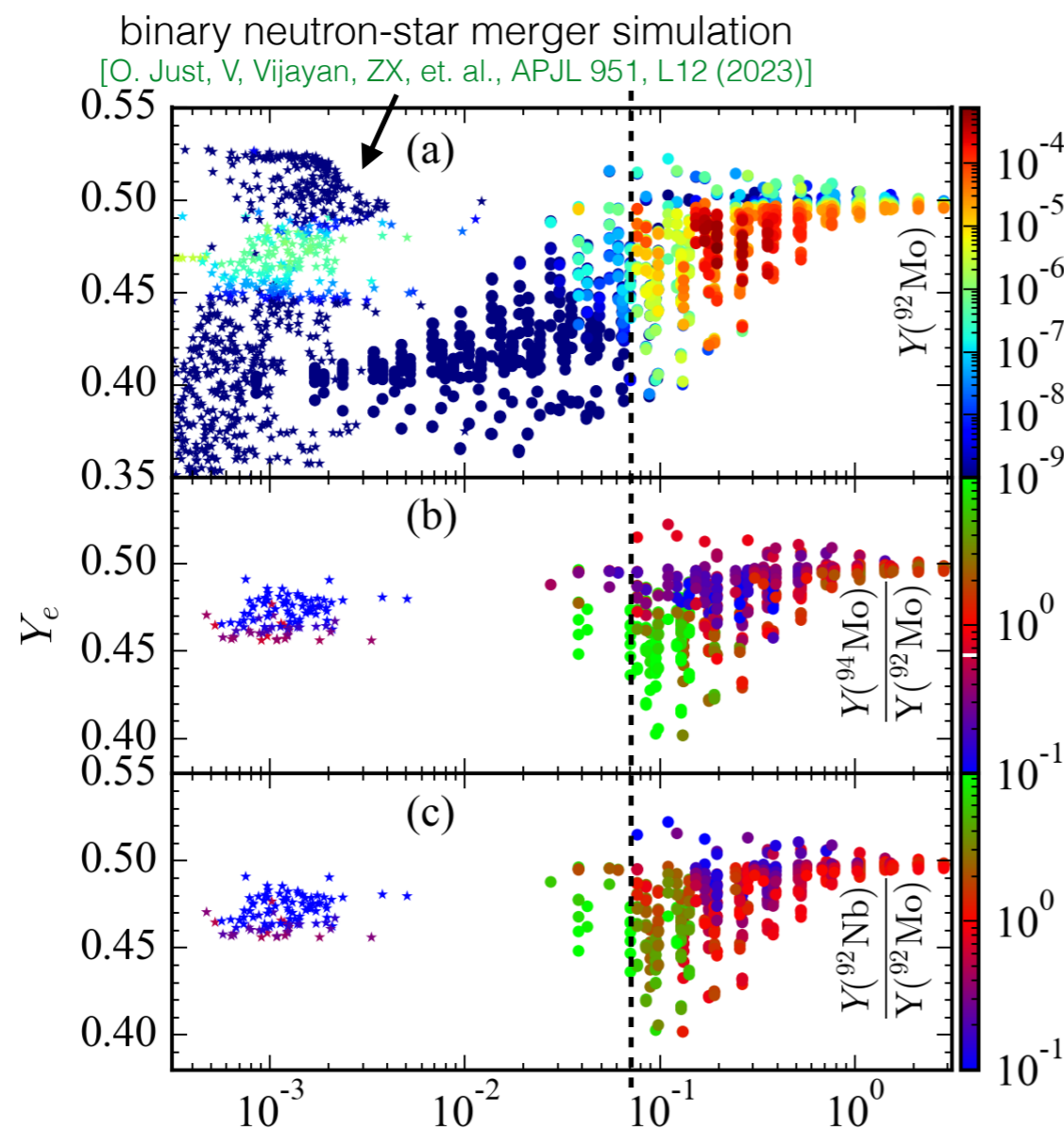
- Increasing neutrino fluxes can produce heavier  $p$ -nuclei
- Assuming the same astrophysical site produces both  $r$ -process and  $p$ -nuclei, around 1% of the ejecta should reach  $vr$ -process conditions

# Dependence on $Y_e$ and neutrino exposure

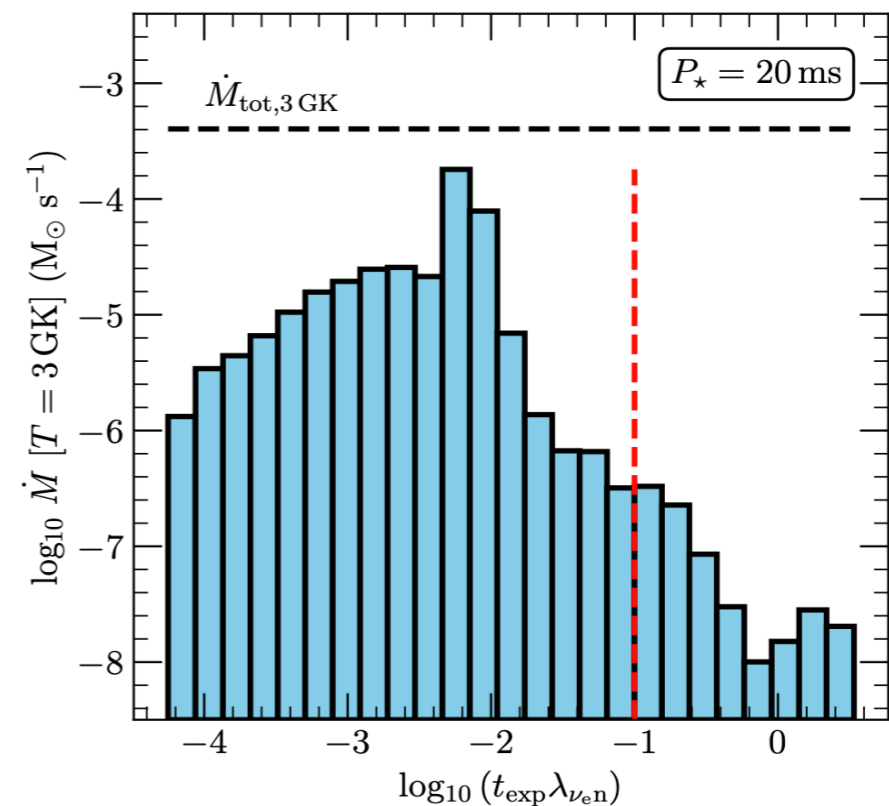
- Significant amounts are produced when  $Y_e < 0.5$  and the neutrino exposure is great than 0.1.

- Current neutrino-hydrodynamical NSM model shows conditions deficient by  $\sim 1$  order of magnitude.

- The polar wind ejecta cool down to 3 GK at radii  $\gtrsim 2000$  km.
- A non-thermal ejection mechanism is necessary (magnetic fields? e.g., proto-magnetar winds?)



[ZX, G. Martínez-Pinedo, O. Just, A. Sieverding, PRL 132, 192701 (2024)]  $\tau_{\text{exp}} \lambda_{\nu_e n} |_{T=3 \text{ GK}}$

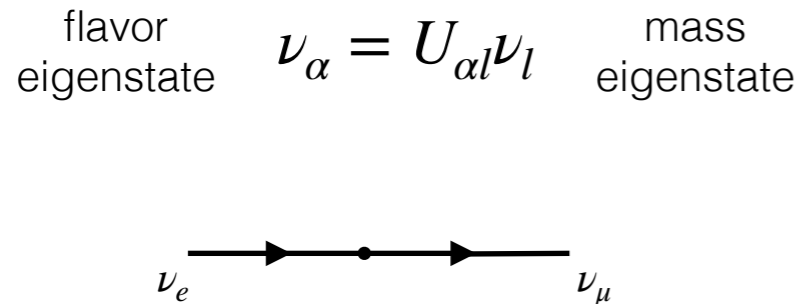


[T. Prasanna, M. Coleman, T. Thompson, arXiv:2402.06003]

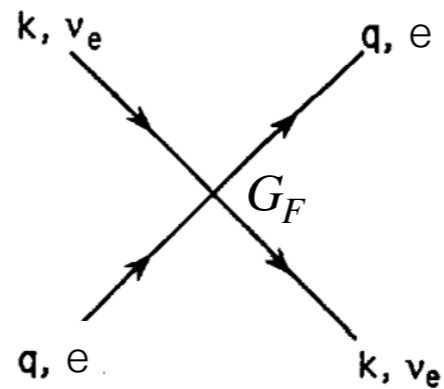
# Simulations of fast neutrino flavor conversions in spherically symmetric supernova models

# Collective neutrino oscillations

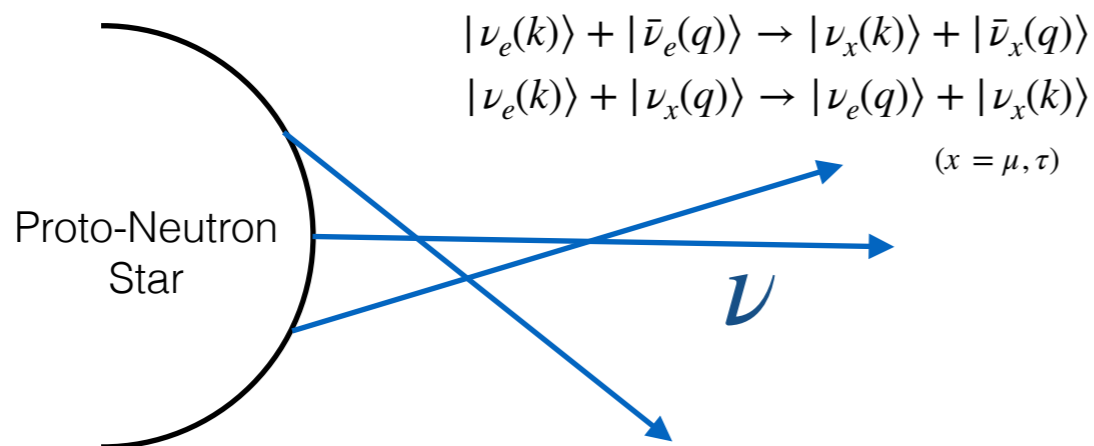
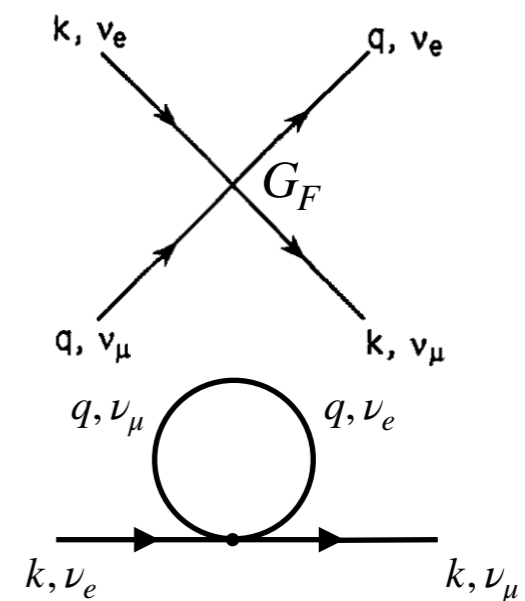
## Flavor mixing in vacuum



## Matter effect



## Neutrino-neutrino coherent forward scattering



- Collective phenomena:
  - Matter-neutrino resonance
  - **Slow** flavor instability, energy spectrum swapping/splitting
  - **Collisional** flavor instability
  - **Fast** flavor instability



# Fast flavor instability (FFI)

## non-trivial neutrino angular distributions

A “very fast” time scale  $(G_F n_\nu)^{-1}$ , where  $n_\nu$  is the neutrino number density  $n_e \sim T^3$ . In our domain this time is of order  $10^{-3}$  cm in units in which  $c = 1$ .

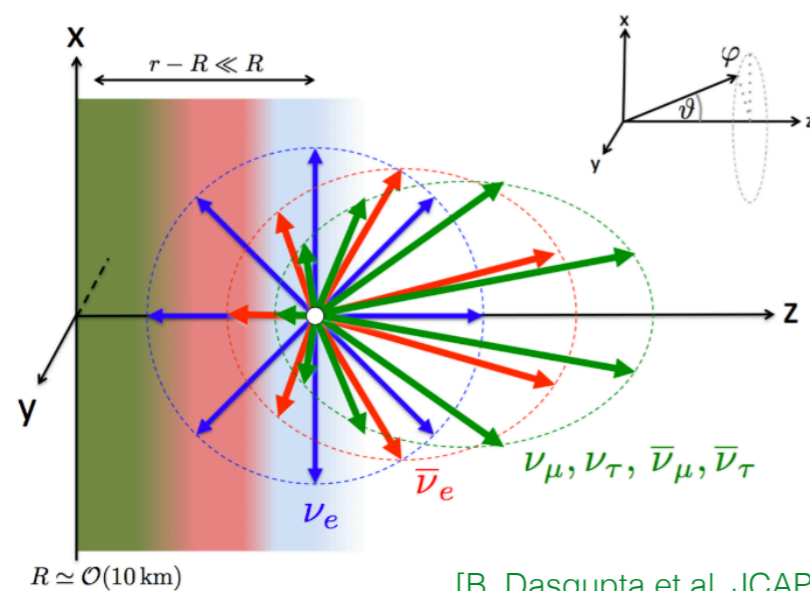
the “very fast” rate mentioned in the introduction. This situation only arises when the angular distributions in the initial state are somewhat complex.

[R. F. Sawyer, PRD 79, 105003 (2009)]

Fast flavor instability is present if and only if the angular distributions of neutrino lepton number of two flavors cross each other.

[T. Morinaga, PRD 105, L101301 (2022)]

$$G \equiv f_{\nu_e}(\mathbf{v}) - f_{\bar{\nu}_e}(\mathbf{v}) - f_{\nu_x}(\mathbf{v}) + f_{\bar{\nu}_x}(\mathbf{v}) \quad (\text{ELN, or E-XLN})$$

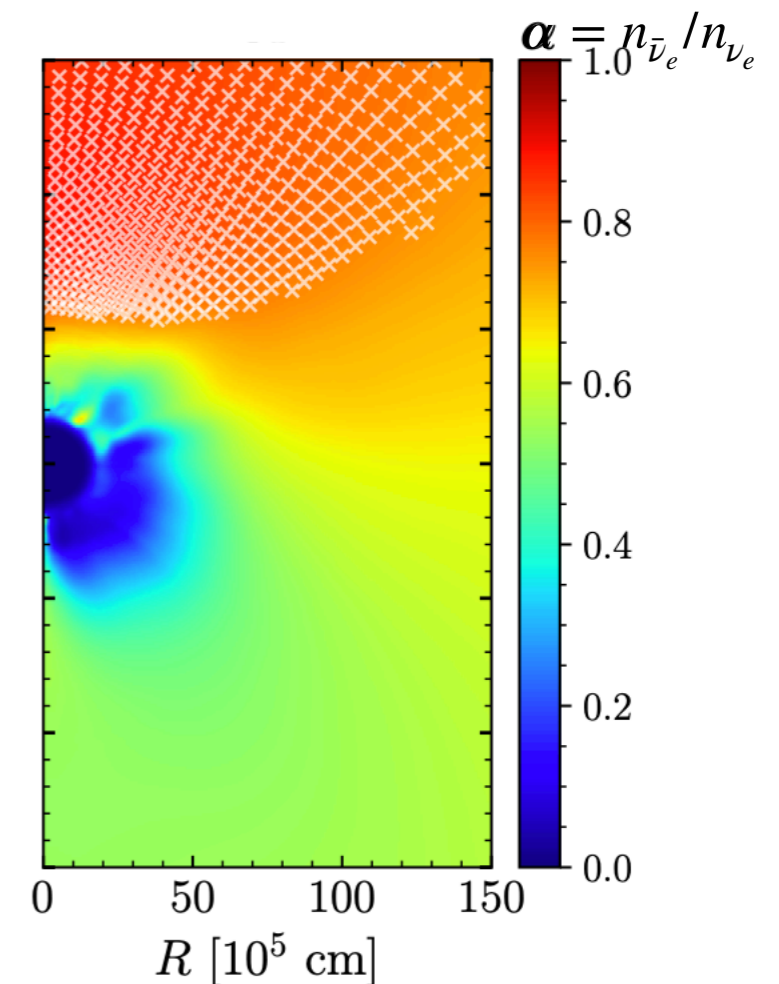


[B. Dasgupta et al, JCAP 02 (2017) 019]

Occurrence of FFI in supernova:

- ahead of the shock wave,
- near the neutrinosphere,
- deep inside neutrinosphere

[S. Abbar, H. Duan, et al., PRD 100, 043004 (2019);  
M. Azari, S. Yamada et al. PRD 99 103011 (2019);  
R. Glas, H.-T. Janka et al., PRD 101, 063001 (2020);  
H. Nagakura, A. Burrows et al., PRD 104, 083025 (2021);  
...]



# Neutrino quantum kinetic equation (νQKE)

$$(\partial_t + \mathbf{v} \cdot \nabla_{\mathbf{r}}) \varrho = -i[\mathbf{H}_{\text{vac}} + \mathbf{H}_{\text{mat}} + \mathbf{H}_{\nu\nu}, \varrho] + \mathbf{C}(\varrho)$$

advection

vacuum  
mixing

coherent forward scatterings  
matter self-induced

collisional weak  
processes

$$\varrho(t, \mathbf{r}, \mathbf{p}) = \begin{pmatrix} f_{\nu_e} & \varrho_{e\mu} & \varrho_{e\tau} \\ \varrho_{e\mu}^* & f_{\nu_\mu} & \varrho_{\mu\tau} \\ \varrho_{e\tau}^* & \varrho_{\mu\tau}^* & f_{\nu_\tau} \end{pmatrix}$$

$$\mathbf{H}_{\text{vac}}(E) = \mathbf{U} \mathbf{M}^2 \mathbf{U}^\dagger$$

$$\mathbf{H}_{\text{mat}} = \sqrt{2} G_F \text{diag}[n_e, 0, 0]$$

$$\mathbf{H}_{\nu\nu}(\hat{\mathbf{p}}) = \sqrt{2} G_F \int d\mathbf{p}' (1 - \hat{\mathbf{p}} \cdot \hat{\mathbf{p}}') [\varrho(\mathbf{p}') - \bar{\varrho}^*(\mathbf{p}')] \mathbf{C} \sim \begin{pmatrix} j_e(1 - f_{\nu_e}) - \chi_e f_{\nu_e} & -(j_e + \chi_e) \varrho_{e\mu}/2 \\ -(j_e + \chi_e) \varrho_{e\mu}^*/2 & 0 \end{pmatrix}$$

$$df_{\nu_e}/dt = j_e(1 - f_{\nu_e}) - \chi_e f_{\nu_e}$$

emissivity          opacity

## Challenging problem:

- Nonlinearity!

- High dimensions!  $\varrho(t, \mathbf{r}, \mathbf{p})$

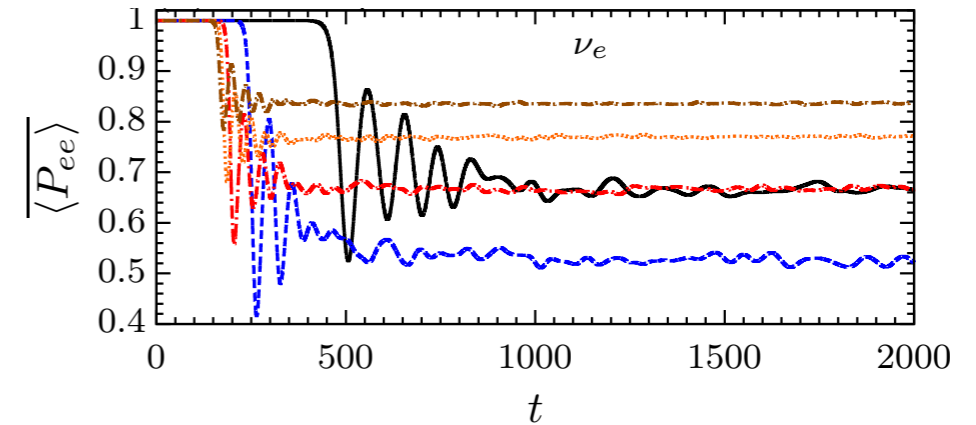
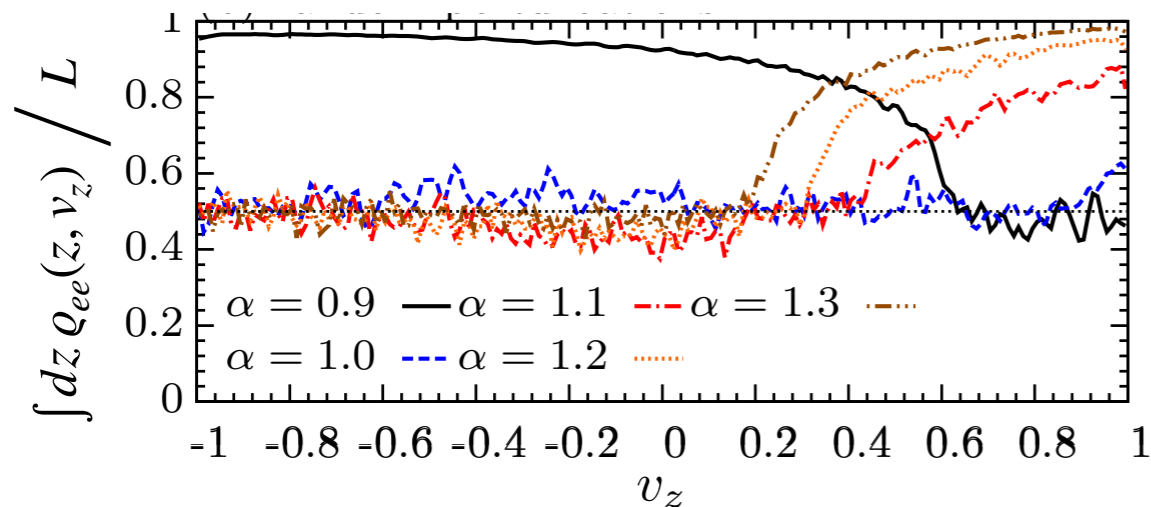
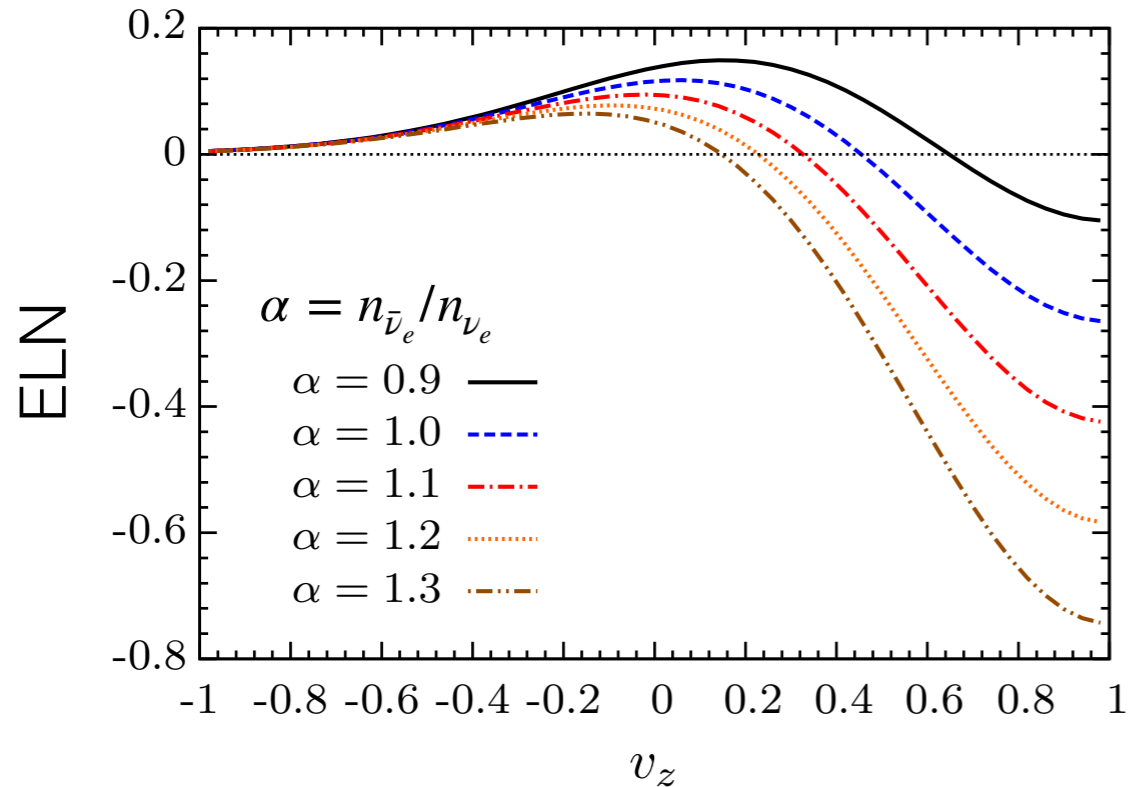
- Scale separation!  $\mathbf{H}_{\nu\nu} \sim G_F n_\nu \sim \mathcal{O}(\text{cm})$

$$\mathbf{C} \sim \chi_e \sim G_F^2 E^2 n_N \sim \mathcal{O}(\text{km})$$

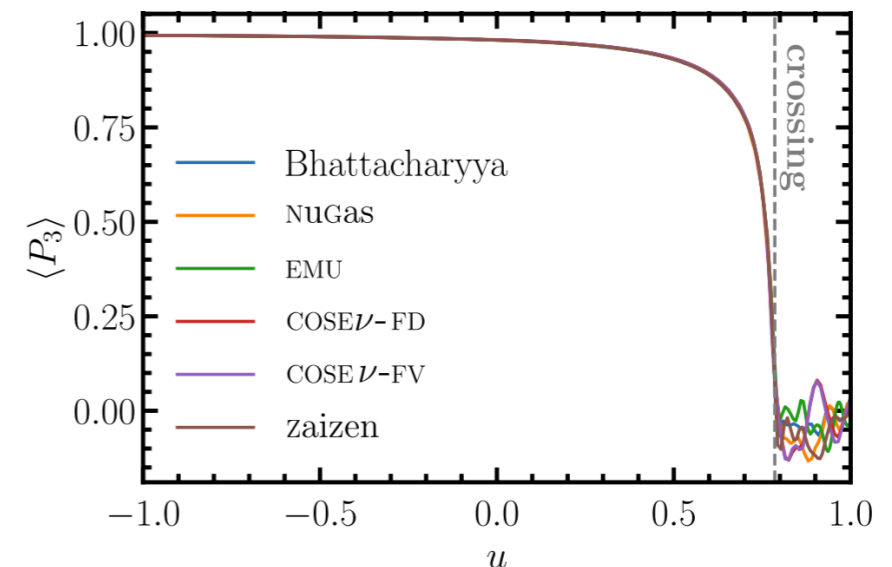
- Local box  $\sim \mathcal{O}(\text{m})$ :  
periodic boundary condition  
without collisions
- Global advection  $\sim \mathcal{O}(100 \text{ km})$ :  
attenuation on  $\mathbf{H}_{\nu\nu}$   
keeping the same hierarchy

# Local-box simulation

$$(\partial_t + v_z \partial_z) \rho(v_z) = -i[\mathbf{H}_{\nu\nu}(v_z), \rho(v_z)]$$



- COSE $\nu$  code (Collective Oscillation Simulation Engine for Neutrinos) [M. George et al., Comput. Phys. Commun. (2023)]
- Reach asymptotic state in coarse-grained level
- Complete flavor equilibration when  $\alpha=1$
- Strong angle-dependence when  $\alpha \neq 1$

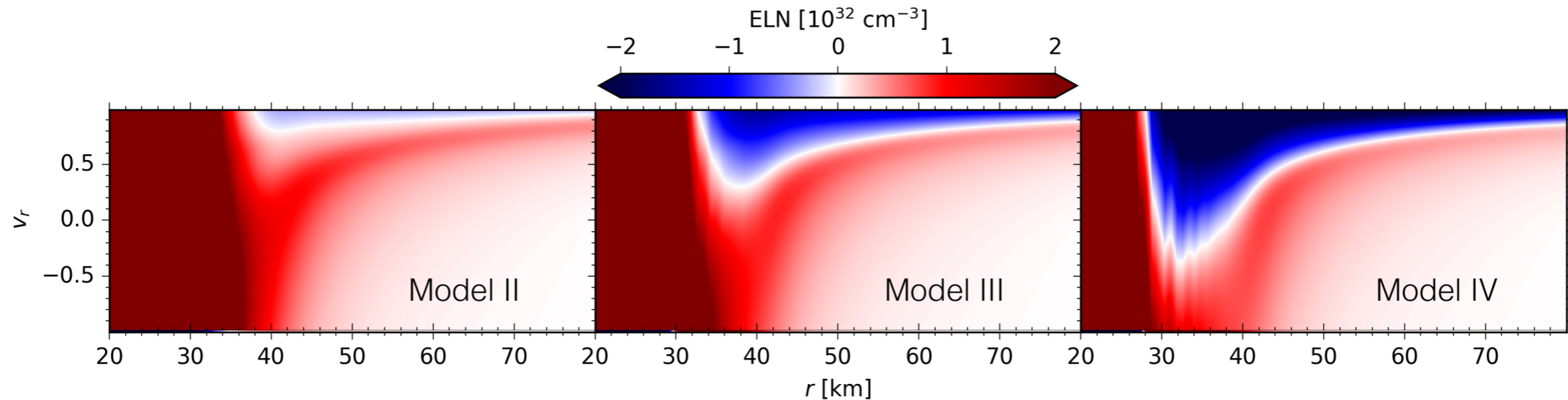


[M.-R. Wu, M. George, C.-Y. Lin, ZX, PRD 104, 103003 (2021)]

[S. Richers et al, PRD 106, 043011 (2022)]

# Global simulation set-up

- CCSN background matter profile from AGILE-BOLTZTRAN,  $25M_{\odot}$  progenitor



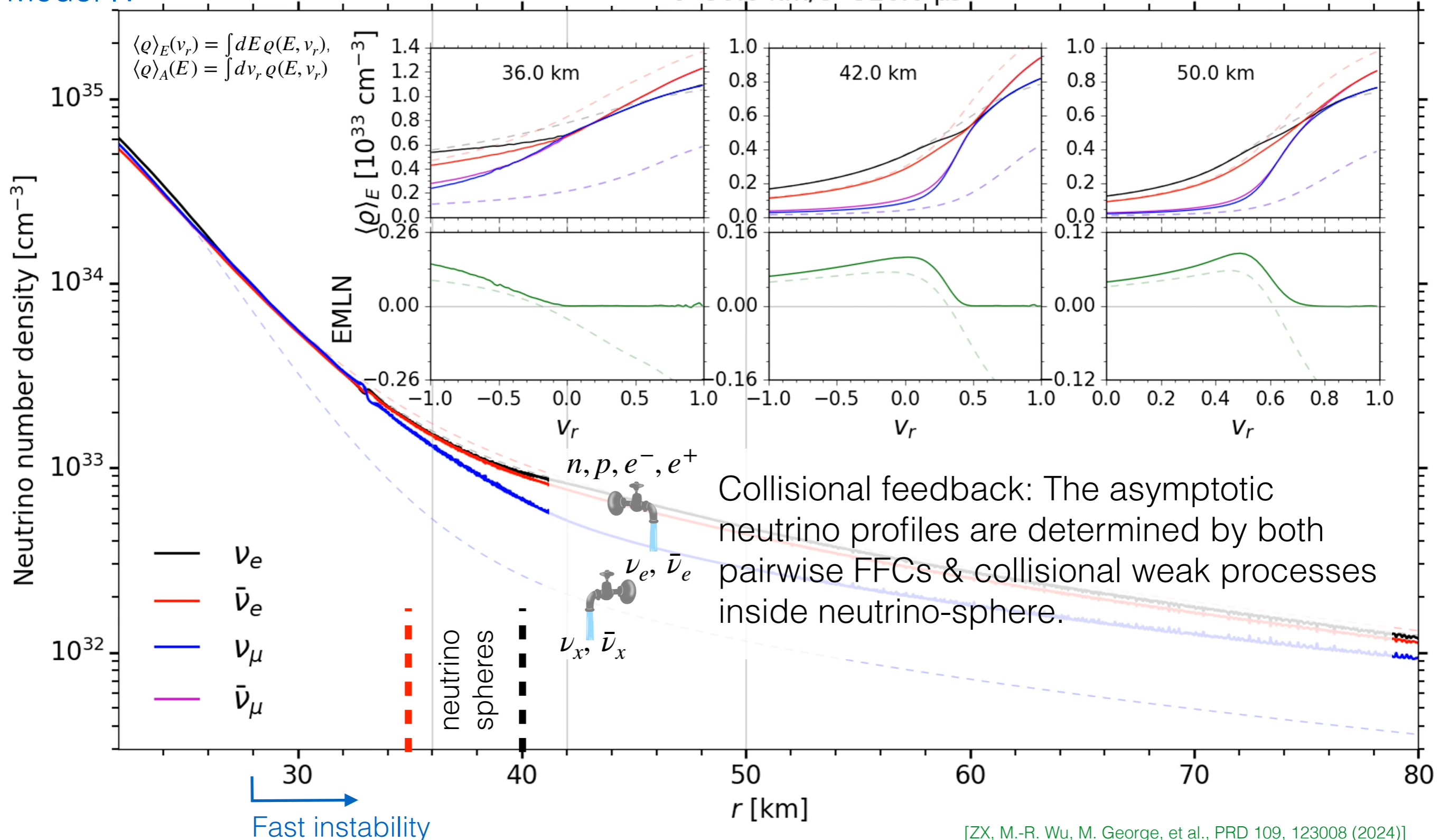
- $$(\partial_t + v_r \partial_r + \frac{1 - v_r^2}{r} \partial_{v_r}) \rho(E, v_r) = -i[\mathbf{H}_{\text{vac}} + a_{\nu\nu} \mathbf{H}_{\nu\nu}, \rho(E, v_r)] + \mathbf{C}$$
  - COSE $\nu$  code: multi-energy & multi-angle
  - $a = 4 \times 10^{-3}$ ,  $N_r = 50,000$ ,  $N_{v_r} = 100$ ,  $N_E = 15$
  - *Collisional weak processes*: emission & absorption, iso-energetic neutrino-nucleon scattering, inelastic neutrino-electron scatterings, & pair reactions
  - *Inner boundary (20km)*: thermal equilibrium at the boundary, collisional weak processes determine neutrino spheres
  - *Outer boundary (80km)*: freely stream out, no injection for incoming neutrinos



# Global simulation: instability from inside neutrino-sphere

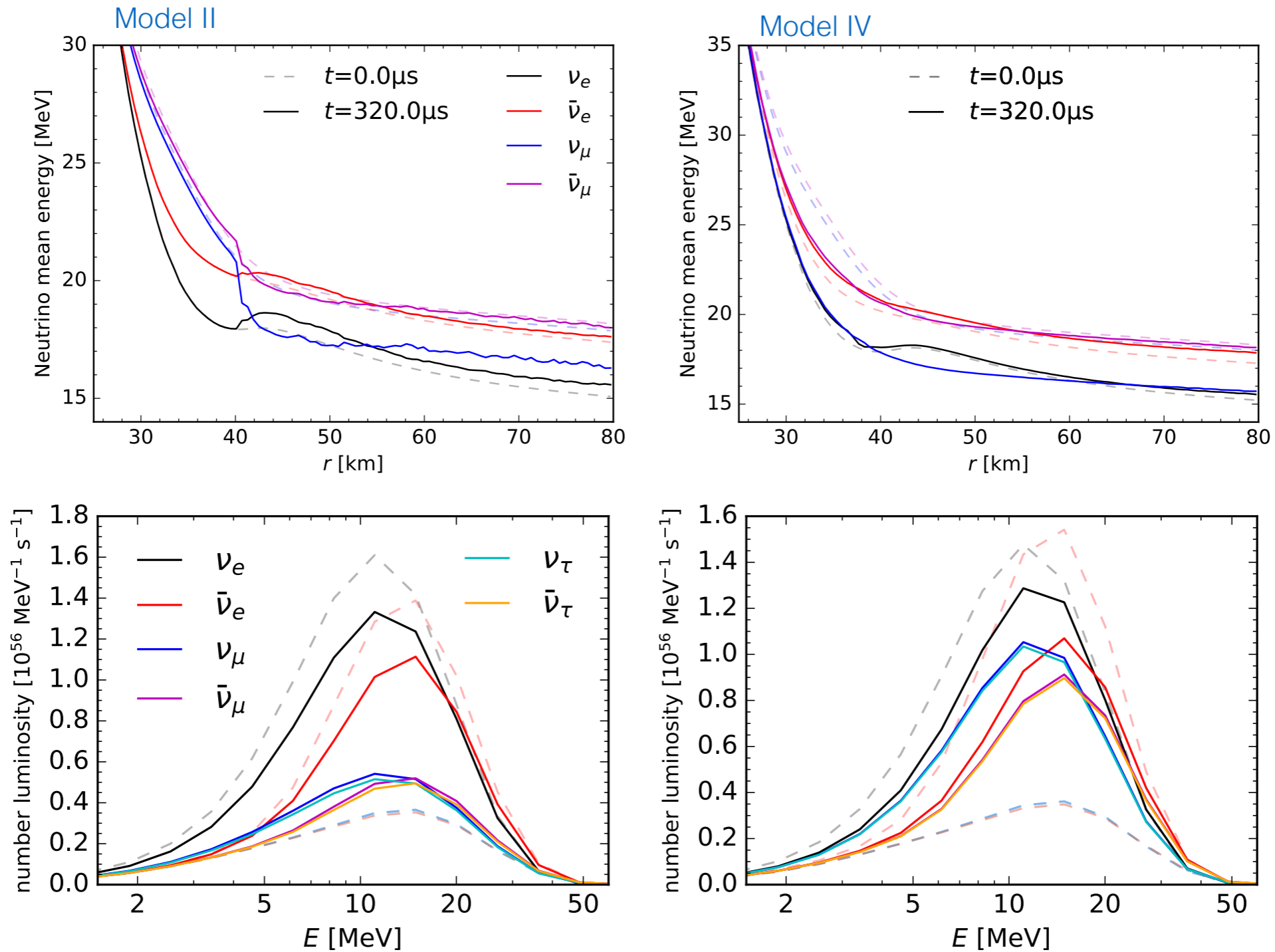
Model IV

$t=96.0 \text{ km}/c=320.0 \mu\text{s}$

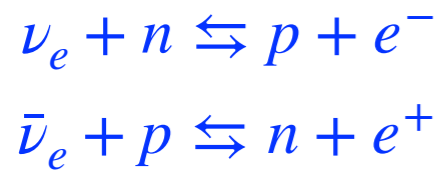


[ZX, M.-R. Wu, M. George, et al., PRD 109, 123008 (2024)]

# Neutrino mean-energy & free-streaming energy spectra



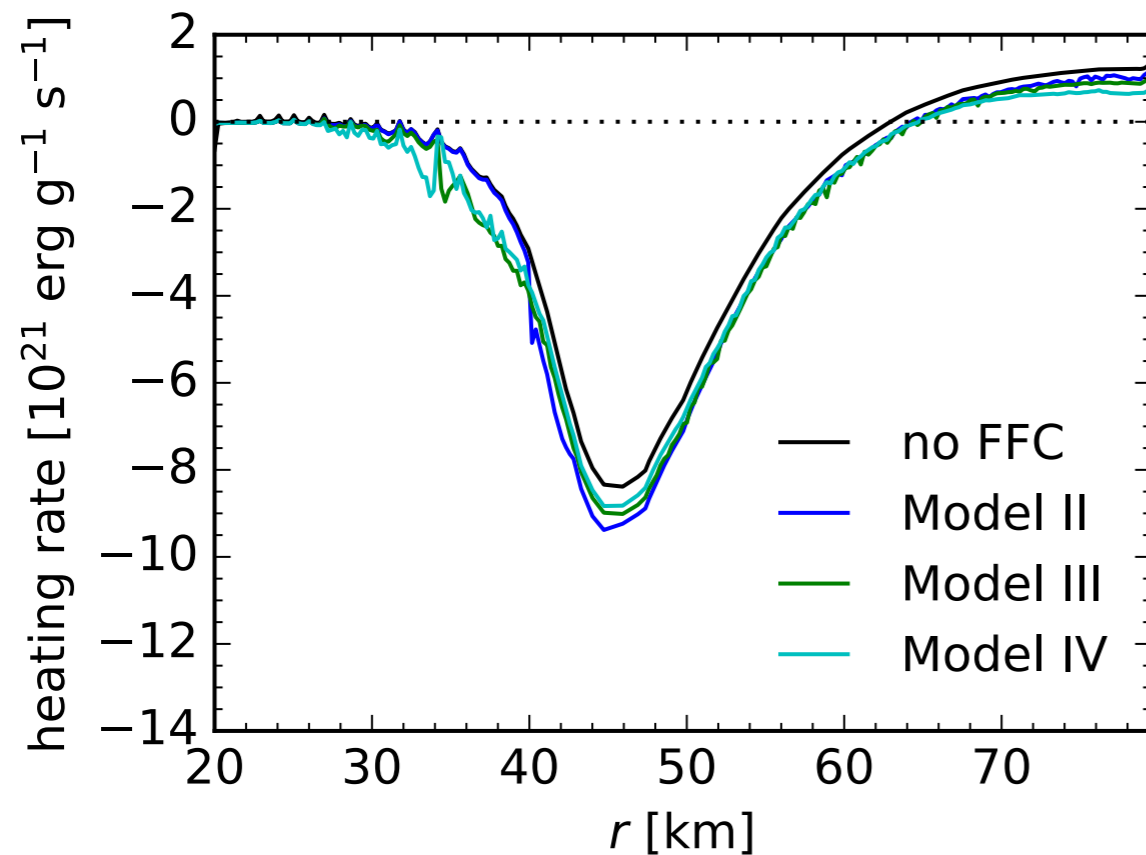
# Effects in neutrino heating & nucleosynthesis



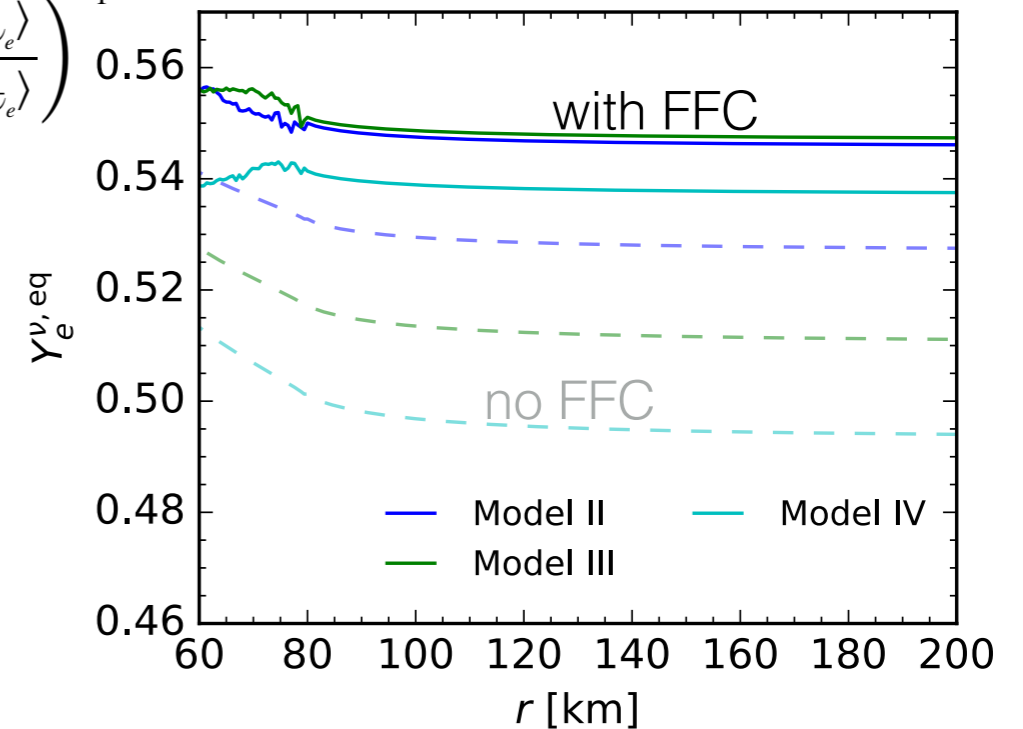
$$Y_e^{\nu, \text{eq}} \sim \left( 1 + \frac{L_{\bar{\nu}_e} \langle E_{\nu_e} \rangle}{L_{\nu_e} \langle E_{\bar{\nu}_e} \rangle} \right)^{-1}$$

can increase cooling & reduce heating

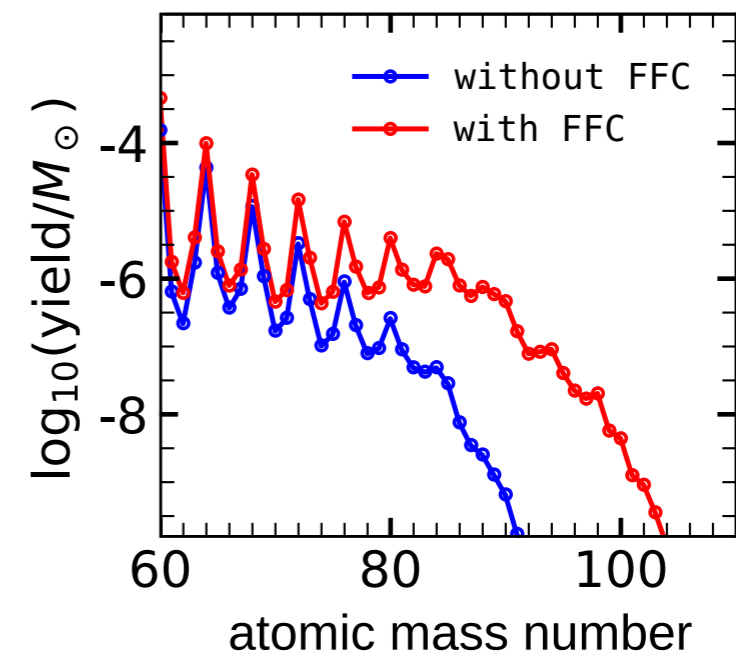
[see also H. Nagakura, PRL 130, 211401 (2023);  
J. Ehring, S. Abbar, H.-T. Janka et al, PRL, (2023)]



[ZX, M.-R. Wu, M. George, et al., PRD 109, 123008 (2024)]



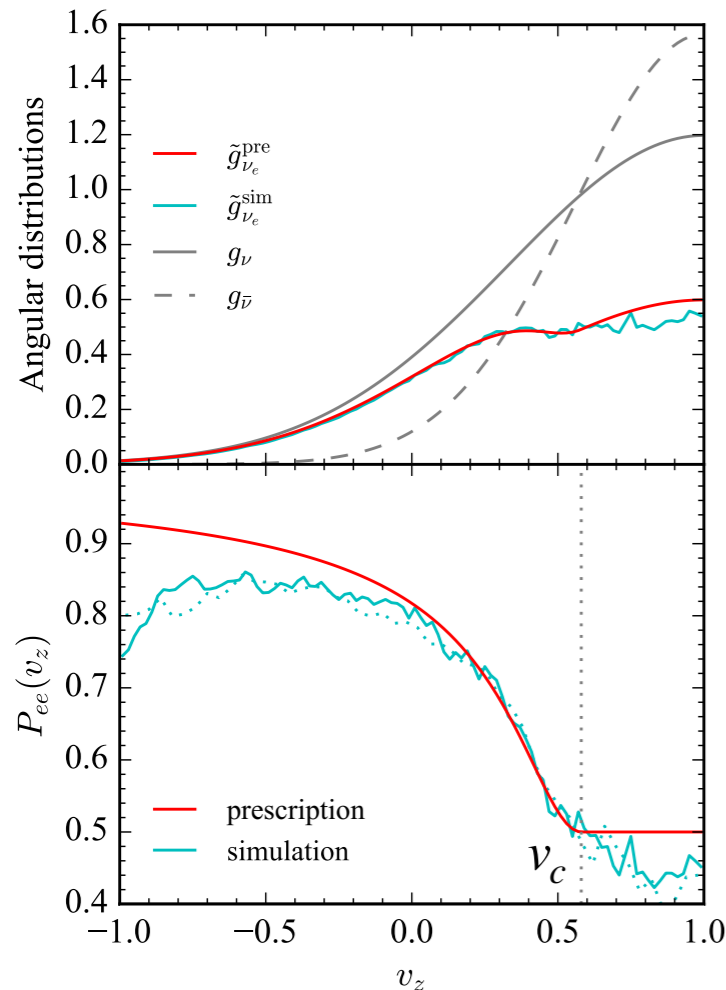
can enhance  $\nu p$ -process



[ZX, A. Sieverding et al., APJ 900, 2 (2020)]



# Analytical prescription



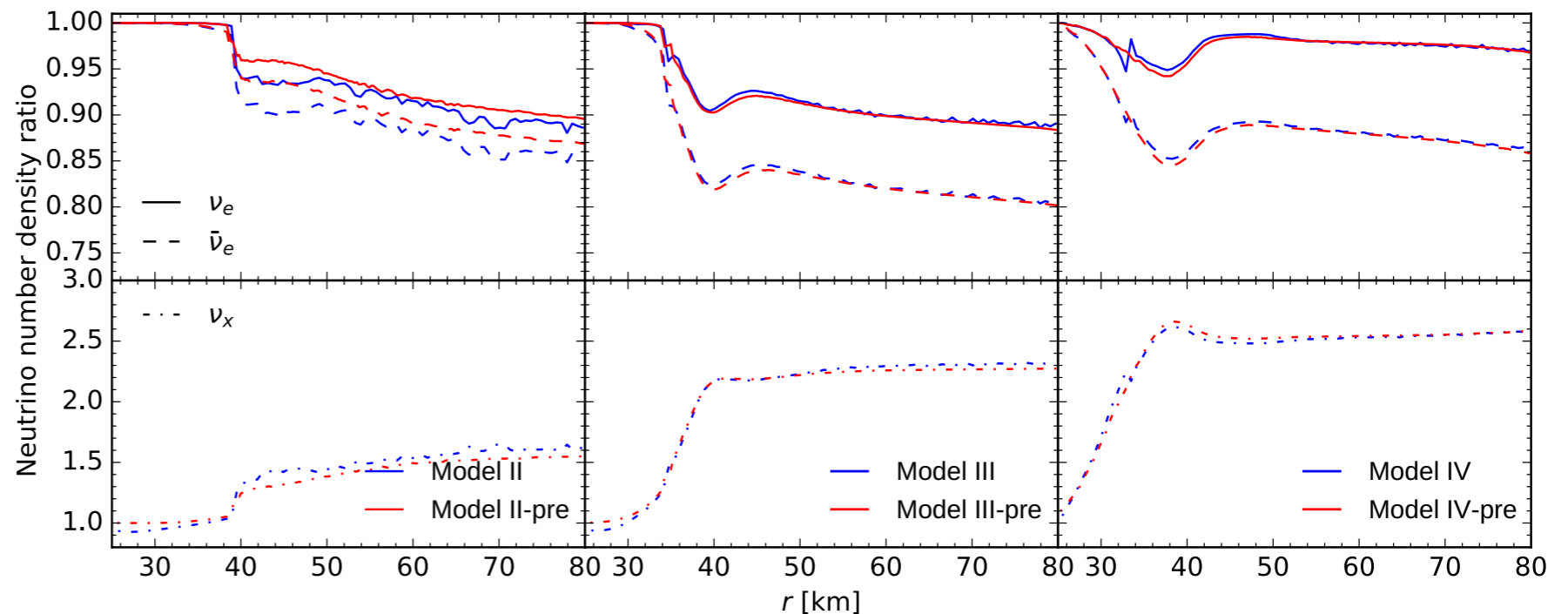
[ZX, M.-R. Wu, S. Abbar, et al., PRD (2023)]

- approximate the survival probability after FFC in local-box simulations as  

$$P_{ee} = 1 - \frac{1}{2}h(|v_z - v_c|/a)$$
with  $h(x) = (x^2 + 1)^{-1/2}$
- effectively describe the pairwise flavor conversions  $\nu_e, \bar{\nu}_e \rightarrow \nu_x, \bar{\nu}_x$  by  

$$F_{\nu_e}^{\text{FFC}} = P_{ee}F_{\nu_e}^0 + (1 - P_{ee})F_{\nu_x}^0$$

$$F_{\nu_x}^{\text{FFC}} = (1 - P_{ee})F_{\nu_e}^0 + P_{ee}F_{\nu_x}^0$$
- apply the above prescription in the global simulations as a source term or instantaneously updating after every hydro time step
- to avoid explicitly including the oscillation term that requires fast time and length scales



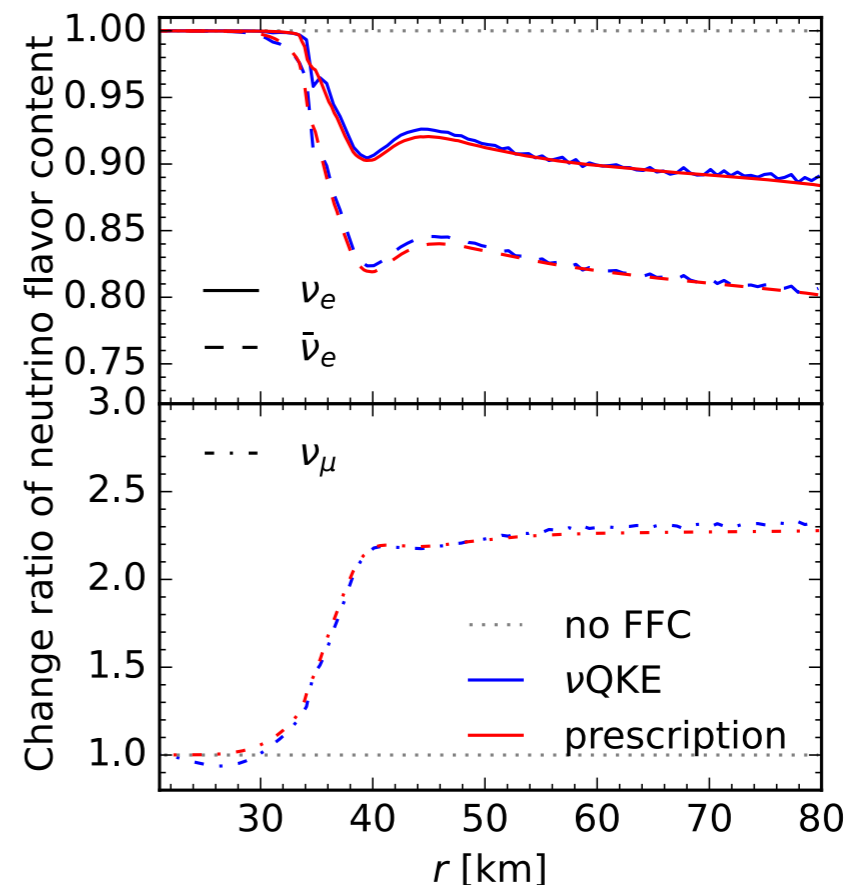
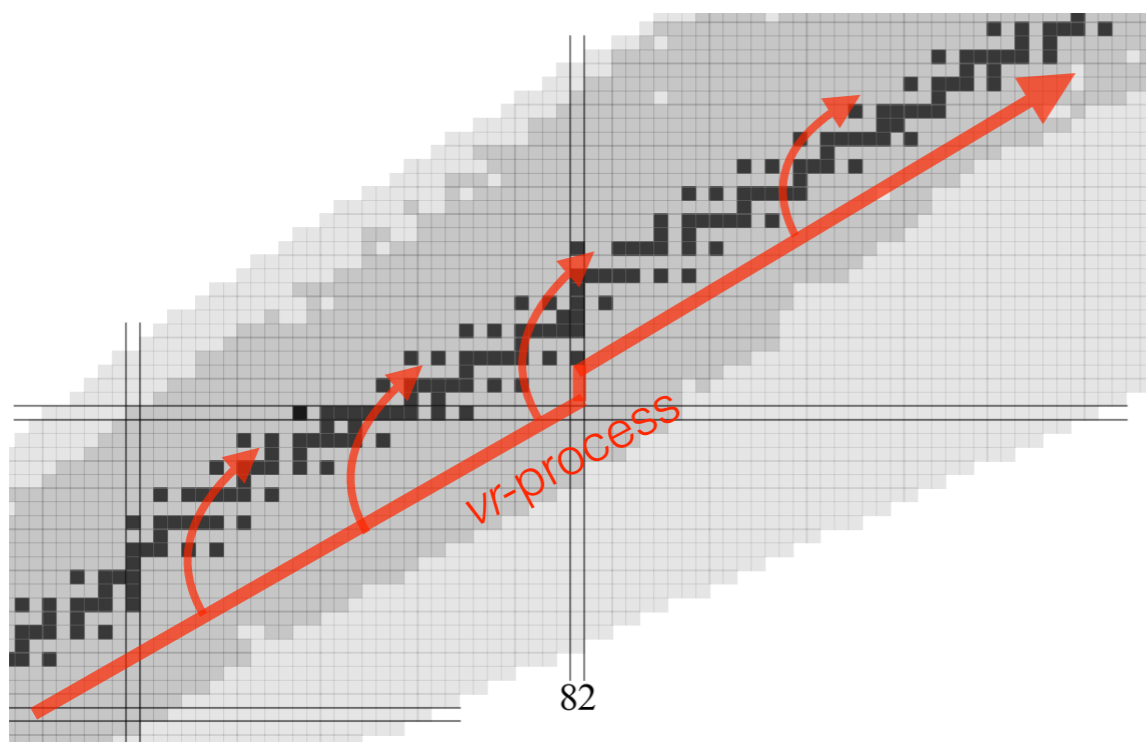
Prescription excellently captures the asymptotic solution from  $\nu$ QKE.

[ZX, M.-R. Wu, et al., arXiv:2403.17269, submitted to PRL]

# Summary & outlook

- We propose the  $\nu r$ -process as driven by **neutrino-nucleus reactions**
  - may take place on neutron-rich ejecta experiencing an intensive neutrino flux
  - final abundance is determined by the equilibrium between  $A(\nu_e, e^- X)$  and  $A(n, \gamma)$  reactions
  - allows a consistent co-production of  $^{92,94}\text{Mo}$ ,  $^{92}\text{Nb}$ ,  $^{96,98}\text{Ru}$ , and heavier  $p$ -nuclei
  - more complicated physics? magnetic fields?

- We perform both local-box and global simulations for **self-induced** fast oscillations
  - asymptotic state at the coarse-grained level
  - co-determined by both collisions & pairwise FFCs when FFIs are inside the neutrinosphere
  - affect the net neutrino heating rate & equilibrium  $Y_e$
  - excellent reproduction of  $\nu\text{QKE}$  solutions using prescription: incorporated in hydro simulations?
  - multi-dimensional geometry? feedback on matter?



# NeuTrAE project



GSI Helmholtzzentrum für Schwerionenforschung GmbH

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JOB/CAREER

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## ERC Starting Grant for neutrino research awarded to Dr. Zewei Xiong

05.09.2024 | Dr. Zewei Xiong has received a prestigious ERC Starting Grant from the European Research Council (ERC). The funding is one of Europe's most important research awards, aimed at talented young scientists at an early career stage to show their potential as a research leader. Dr. Zewei Xiong is currently working as a postdoctoral researcher in the Nuclear Astrophysics and Structure Department at the GSI Helmholtzzentrum für Schwerionenforschung.

- **NeuTrAE: Neutrino flavor Transformations in dense Astrophysical Environments.**
- Scientific goals:
  - performing quantum kinetic simulations for collective neutrino flavor oscillations
  - developing numerically effective schemes that can be incorporated in state-of-the-art hydrodynamical simulations
  - assessing quantitatively the impact of neutrino flavor transformations on heavy element nucleosynthesis and its electromagnetic signatures
- Total duration of the project: five years
- will open a PhD position soon, which will start next year with a duration of three years.



European Research Council  
Established by the European Commission